

CSC 252: Computer Organization

Spring 2018: Lecture 25

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Action Items:

- **Programming Assignment 5 grades are out**
- **Programming Assignment 6 is due soon**

Announcement

- Programming assignment 6 is due on 11:59pm, **Monday, April 30.**
- Programming assignment 5 grades are out

22	23	24	25	26	27	28
29	30	May 1	2	3	4	5

Due

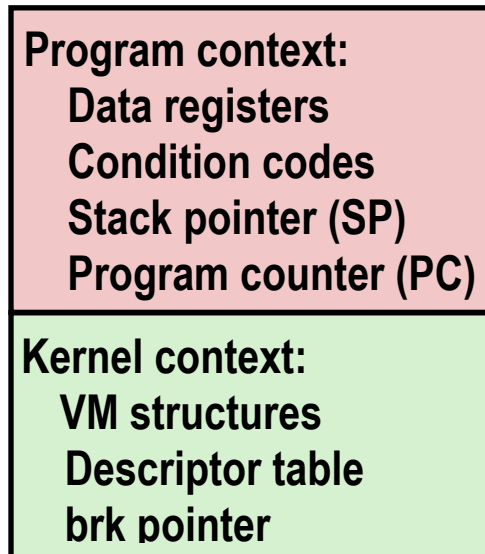
Today

- From process to threads
 - Basic thread execution model
- Shared variables in multi-threaded programming
 - Mutual exclusion using semaphore
 - Deadlock
- Thread-level parallelism
 - Amdahl's Law: performance model of parallel programs
- Hardware implementation implications of threads
 - Multi-core
 - Hyper-threading
 - Cache coherence

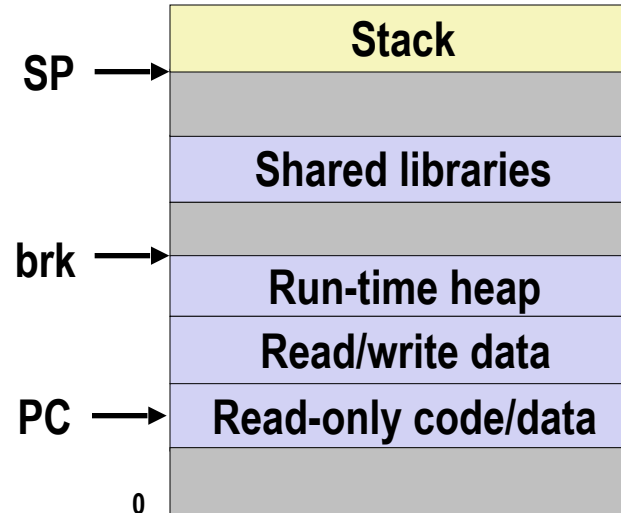
Traditional View of a Process

- Process = process context + code, data, and stack

Process context



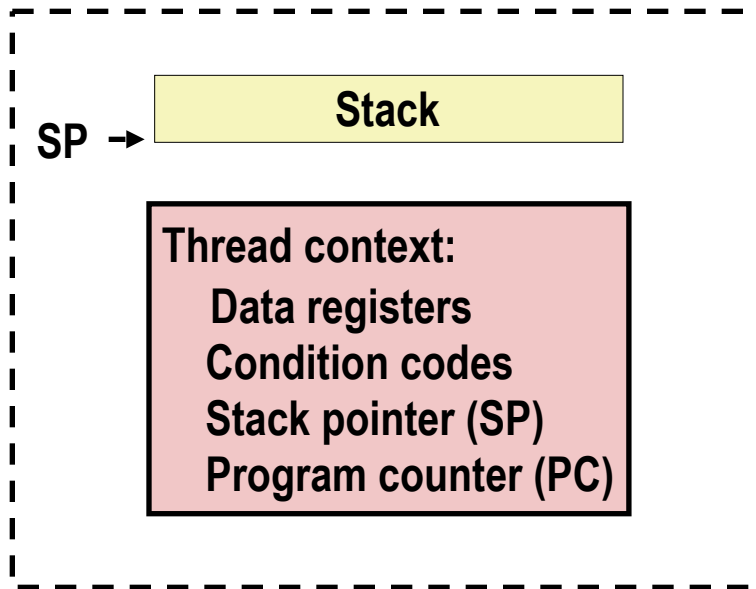
Code, data, and stack



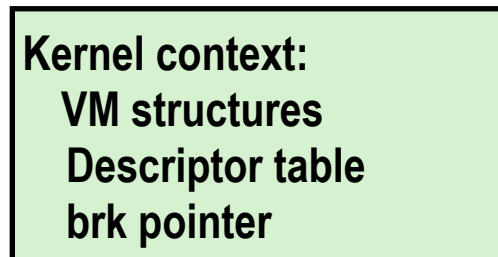
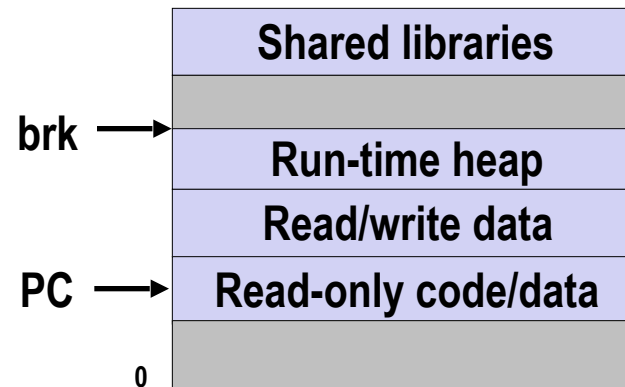
Alternate View of a Process

- Process = thread + code, data, and kernel context
- A thread runs in the context of a process

Thread (main thread)



Code, data, and kernel context



A Process With Multiple Threads

- Multiple threads can be associated with a process
 - Each thread has its own logical control flow
 - Each thread shares the same code, data, and kernel context
 - Each thread has its own stack for local variables
 - but not protected from other threads
 - Each thread has its own thread id (TID)

Thread 1 (main thread)

Thread 2 (peer thread)

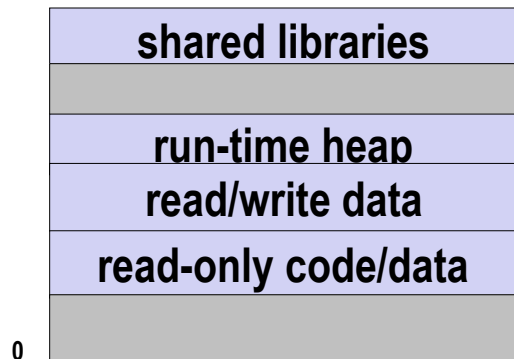
Shared code and data

stack 1

stack 2

Thread 1 context:
Data registers
Condition codes
SP1
PC1

Thread 2 context:
Data registers
Condition codes
SP2
PC2



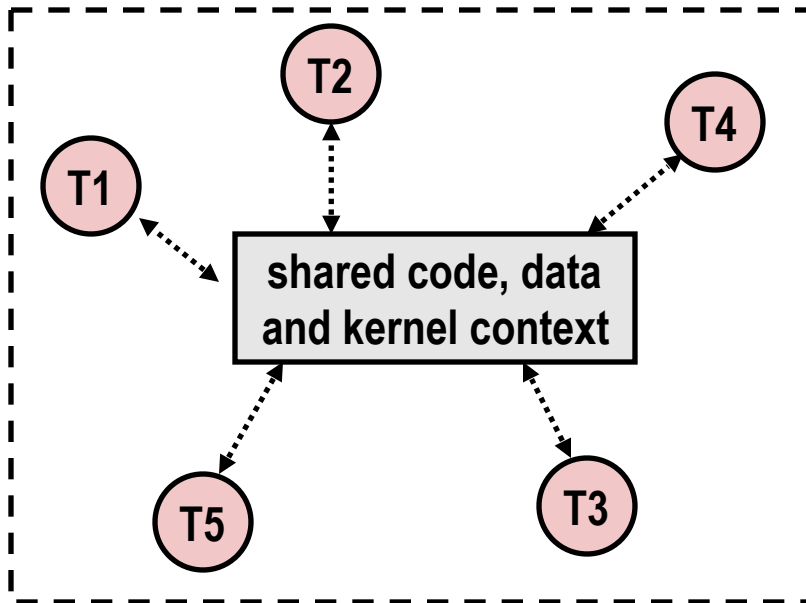
Kernel context:
VM structures
Descriptor table
brk pointer

Logical View of Threads

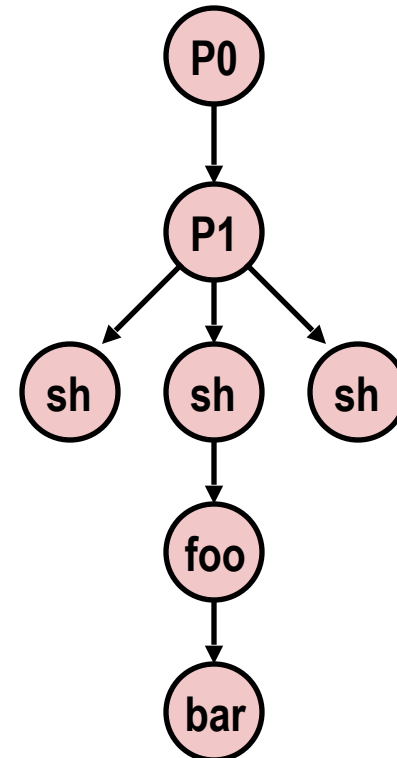
- Threads associated with process form a pool of peers

- Unlike processes which form a tree hierarchy

Threads associated with process foo

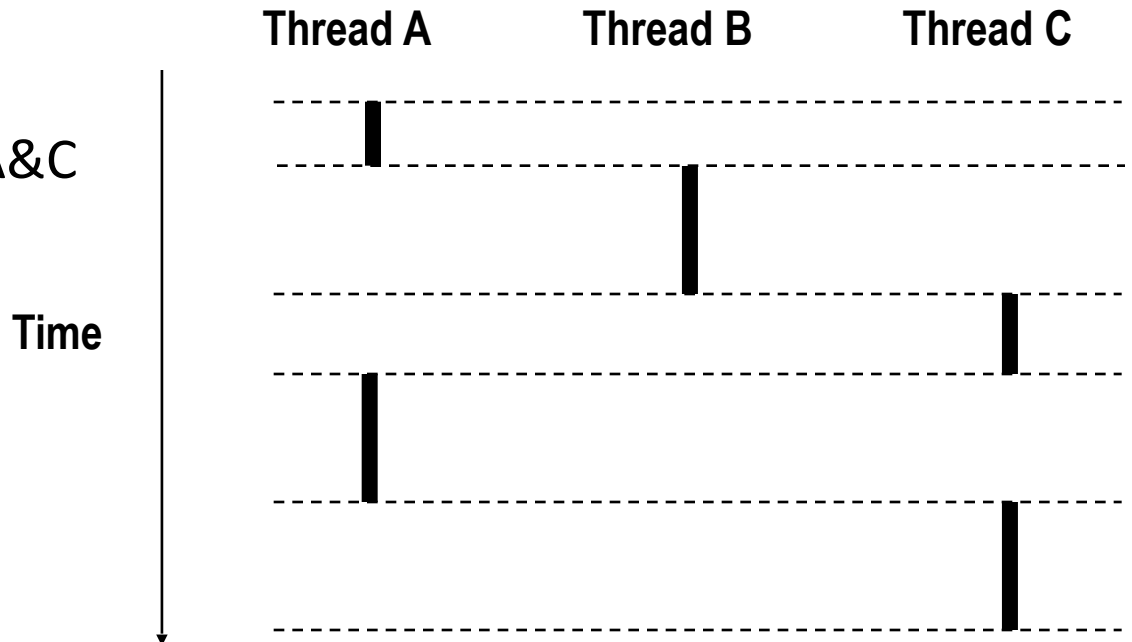


Process hierarchy



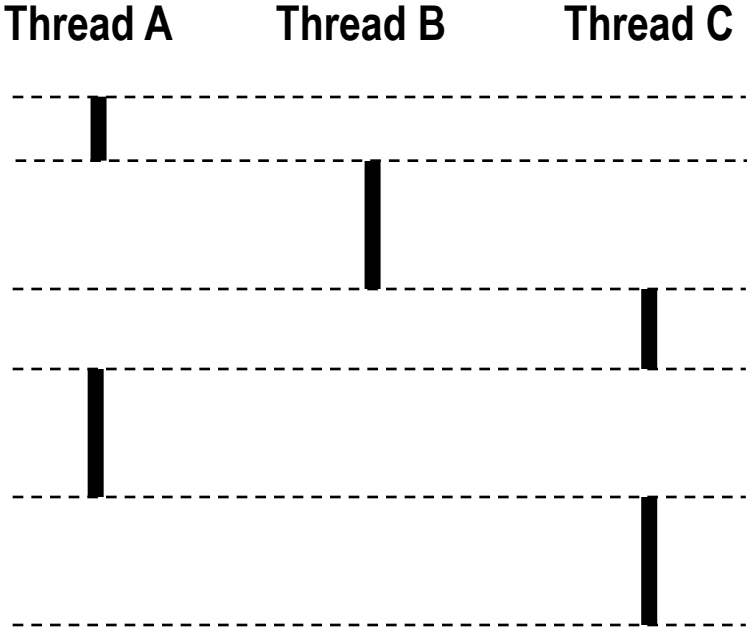
Concurrent Threads

- Two threads are *concurrent* if their flows overlap in time
- Otherwise, they are sequential
- Examples:
 - Concurrent: A & B, A&C
 - Sequential: B & C

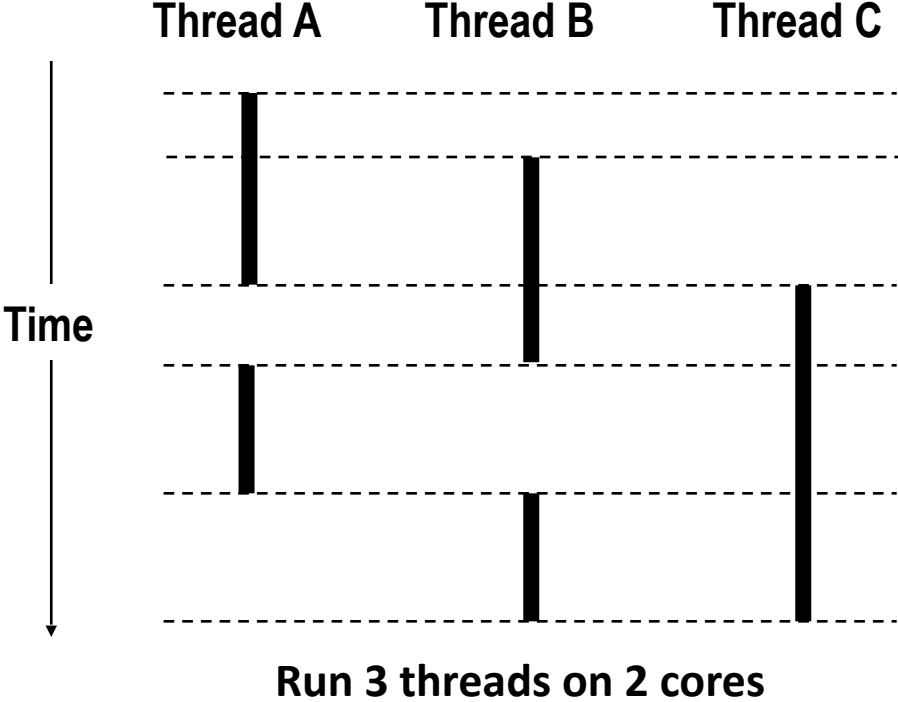


Concurrent Thread Execution

- Single Core Processor
 - Simulate parallelism by time slicing



- Multi Core Processor
 - Threads can have true parallelisms



Threads vs. Processes

- How threads and processes are similar
 - Each has its own logical control flow
 - Each can run concurrently with others (possibly on different cores)
 - Each is context switched, controlled by kernel

Threads vs. Processes

- How threads and processes are similar
 - Each has its own logical control flow
 - Each can run concurrently with others (possibly on different cores)
 - Each is context switched, controlled by kernel
- How threads and processes are different
 - Threads share all code and data (except local stacks)
 - Processes (typically) do not
 - Threads are less expensive than processes
 - Process control (creating and reaping) twice as expensive as thread control
 - Typical Linux numbers:
 - ~20K cycles to create and reap a process
 - ~10K cycles (or less) to create and reap a thread

Posix Threads (Pthreads) Interface

- *Pthreads*: Standard interface for ~60 functions that manipulate threads from C programs
 - Creating and reaping threads
 - `pthread_create()`
 - `pthread_join()`
 - Determining your thread ID
 - `pthread_self()`
 - Terminating threads
 - `pthread_cancel()`
 - `pthread_exit()`
 - `exit()` [terminates all threads], `RET` [terminates current thread]
 - Synchronizing access to shared variables
 - `pthread_mutex_init`
 - `pthread_mutex_[un]lock`

The Pthreads "hello, world" Program

```
/*
 * hello.c - Pthreads "hello, world" program
 */
#include "csapp.h"
void *thread(void *vargp);

int main()
{
    pthread_t tid;
    Pthread_create(&tid, NULL, thread, NULL);
    Pthread_join(tid, NULL);
    exit(0);
}
                                                                    hello.c
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```
void *thread(void *vargp) /* thread routine */
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    printf("Hello, world!\n");
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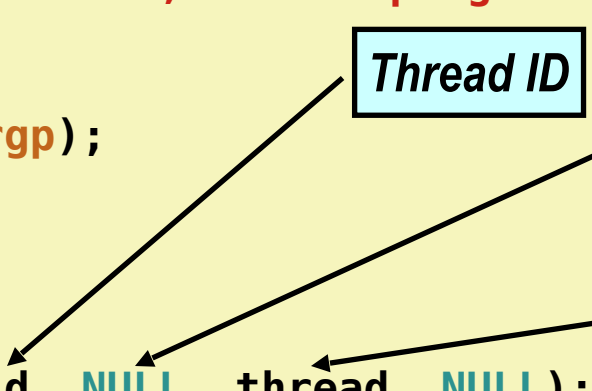
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Thread ID

Thread attributes
(usually NULL)

Thread routine

Thread arguments
(void *p)

hello.c

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hello.c

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```

Return value
(void **p)

hello.c

Execution of Threaded “hello, world”

Main thread



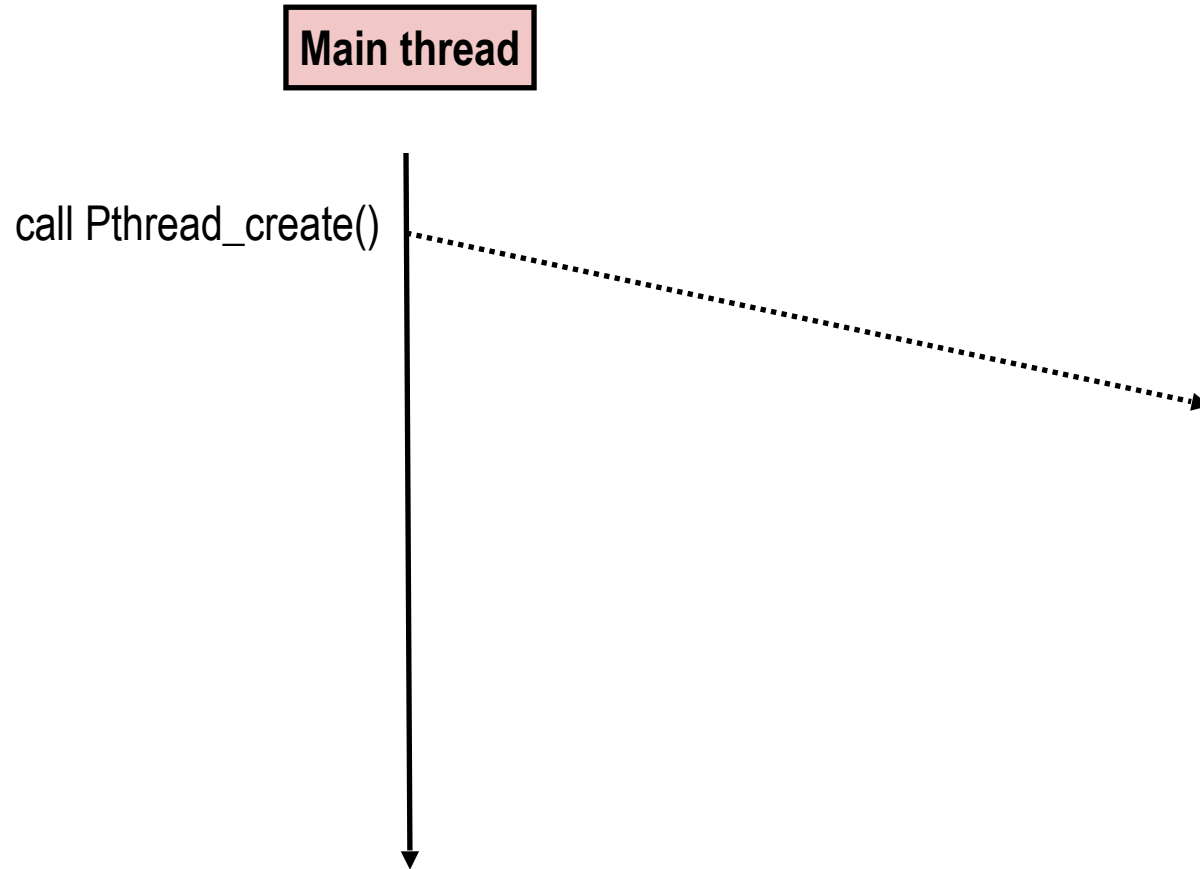
Execution of Threaded “hello, world”

Main thread

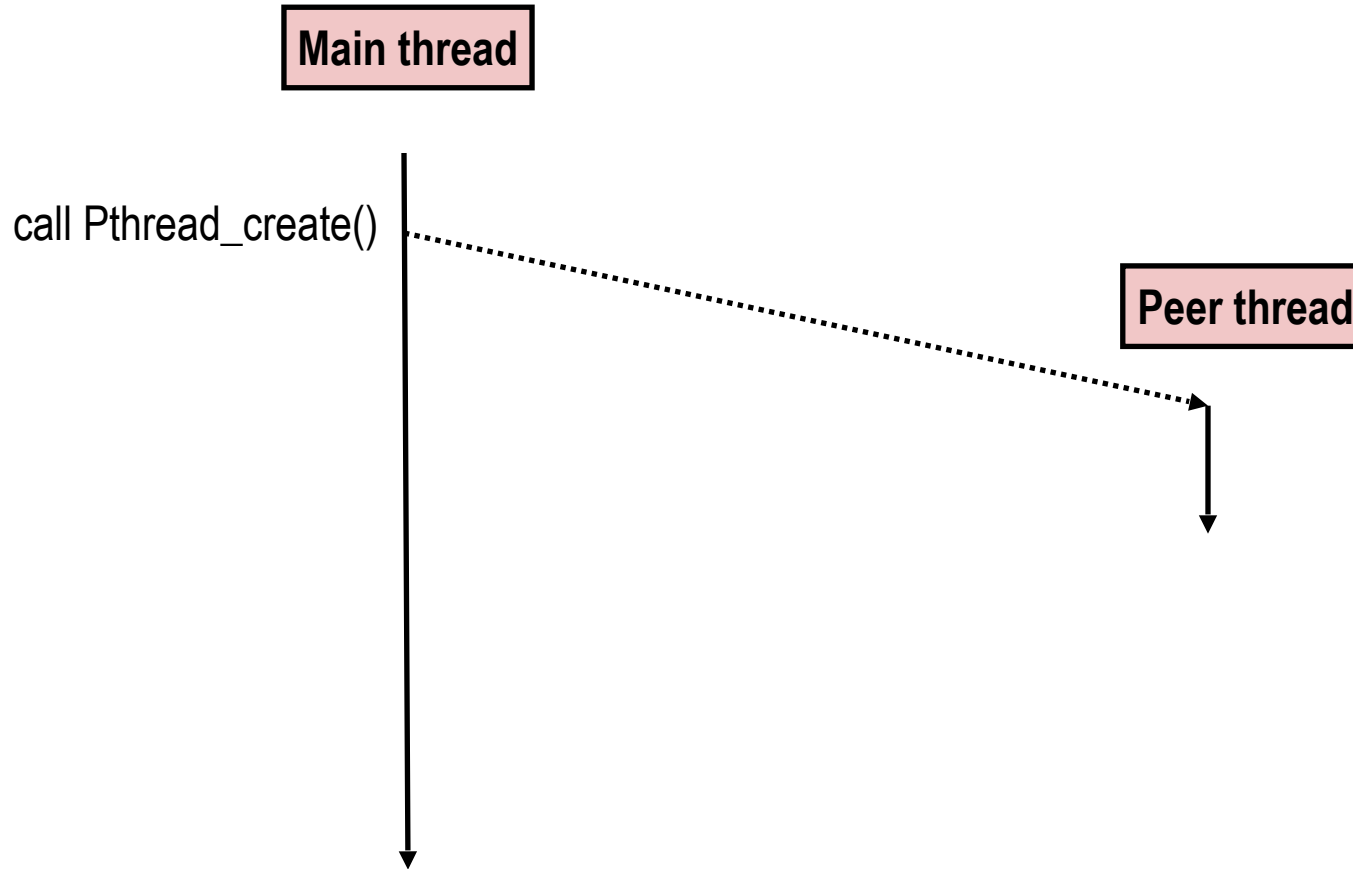
call Pthread_create()



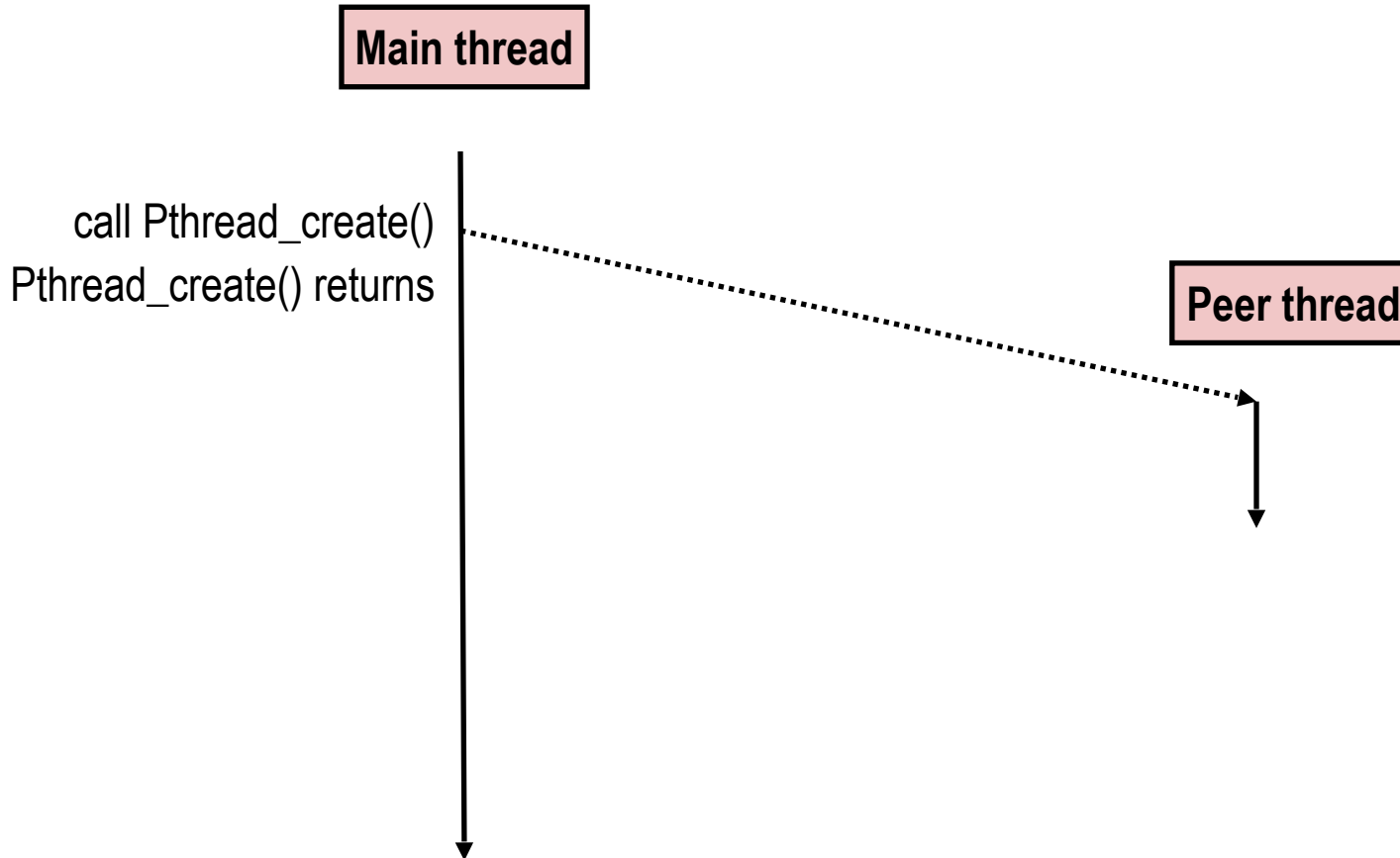
Execution of Threaded “hello, world”



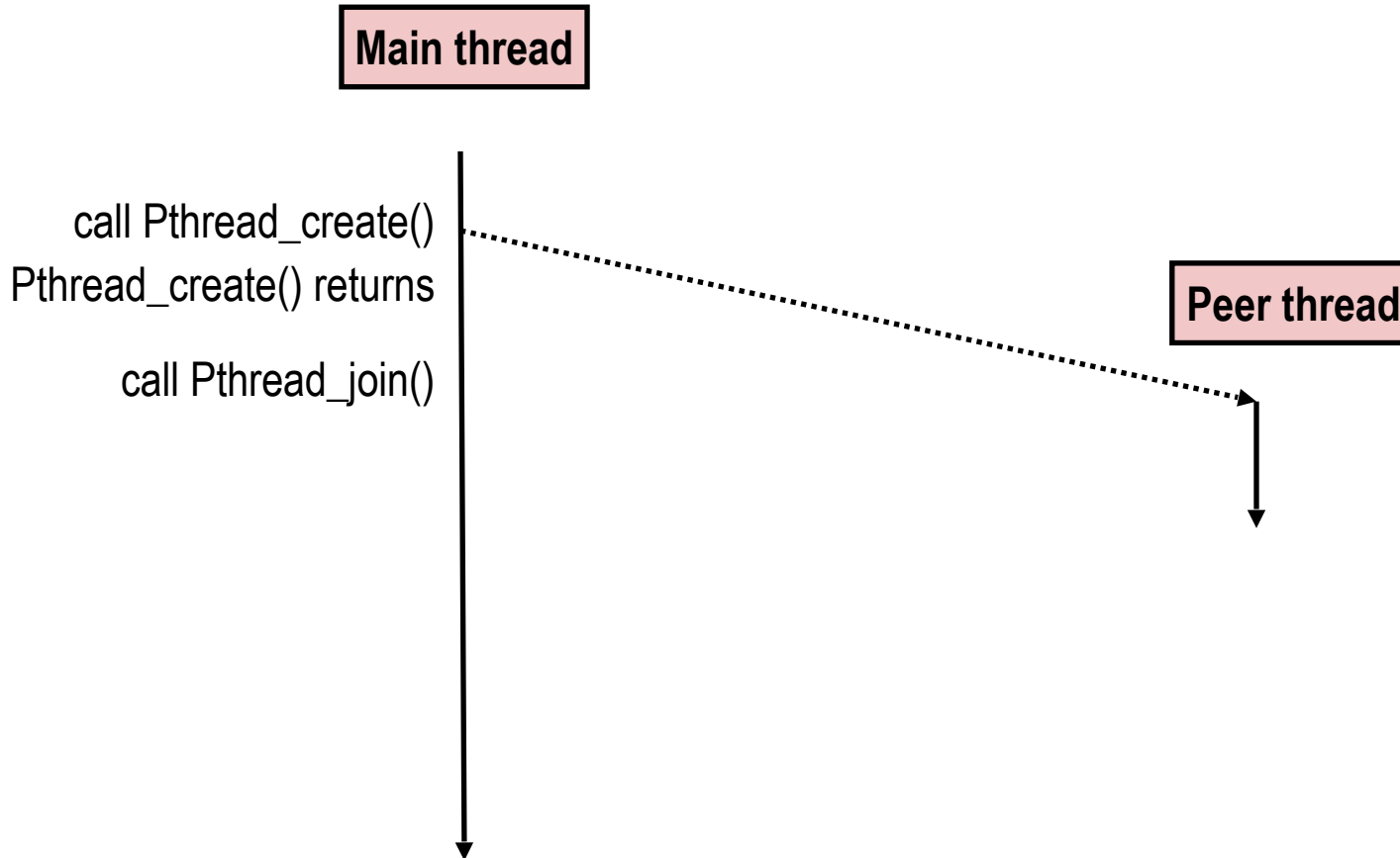
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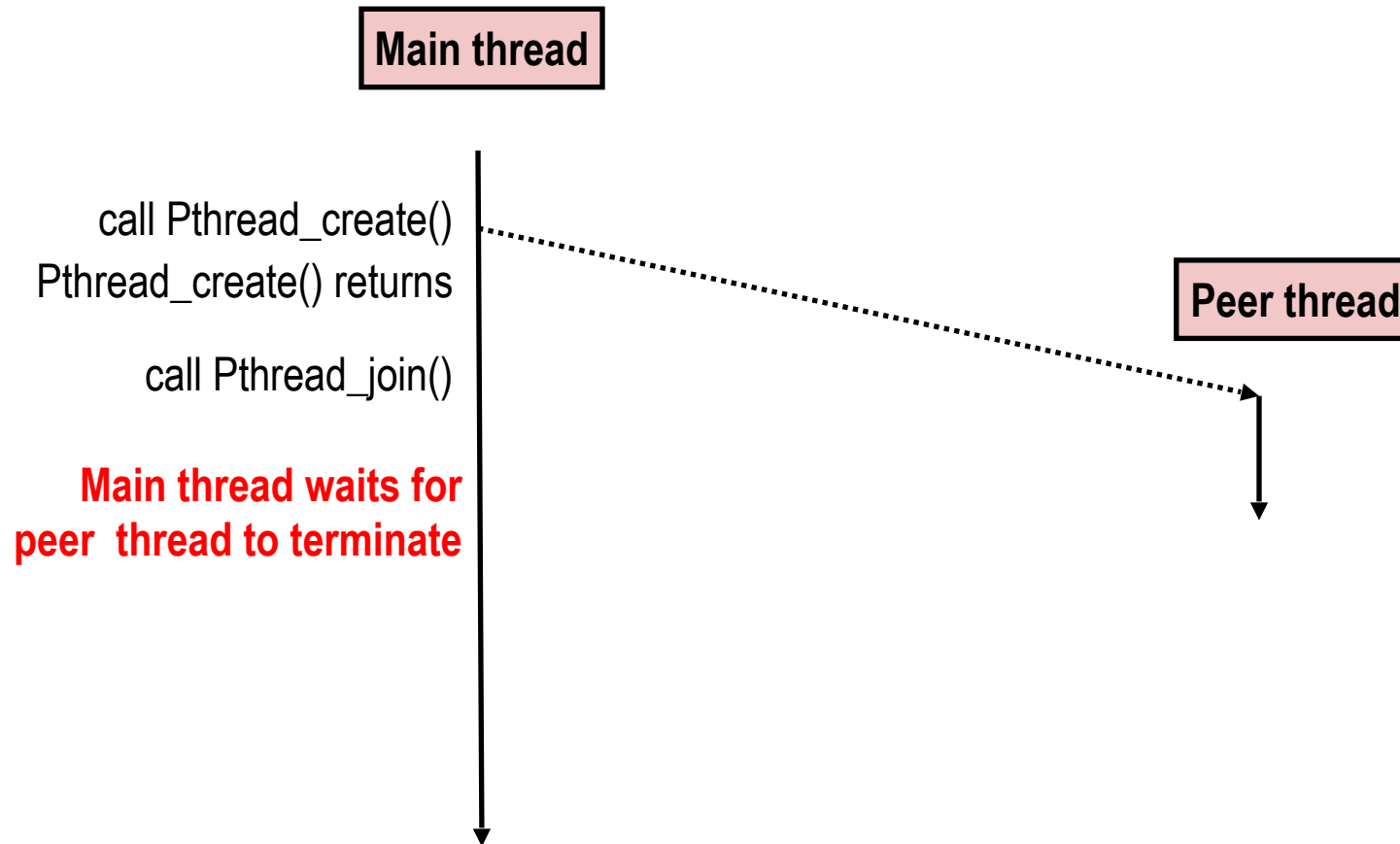
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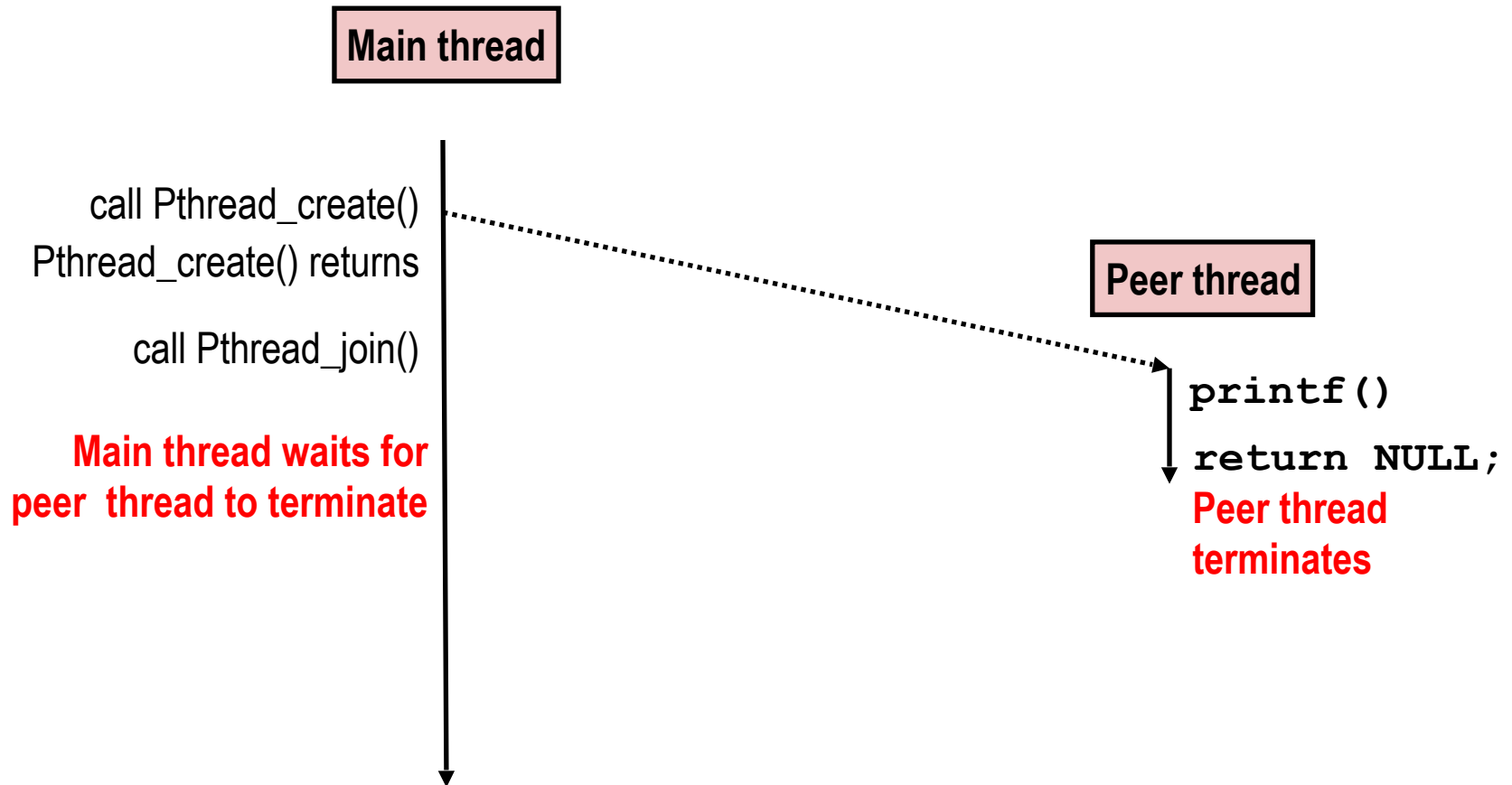
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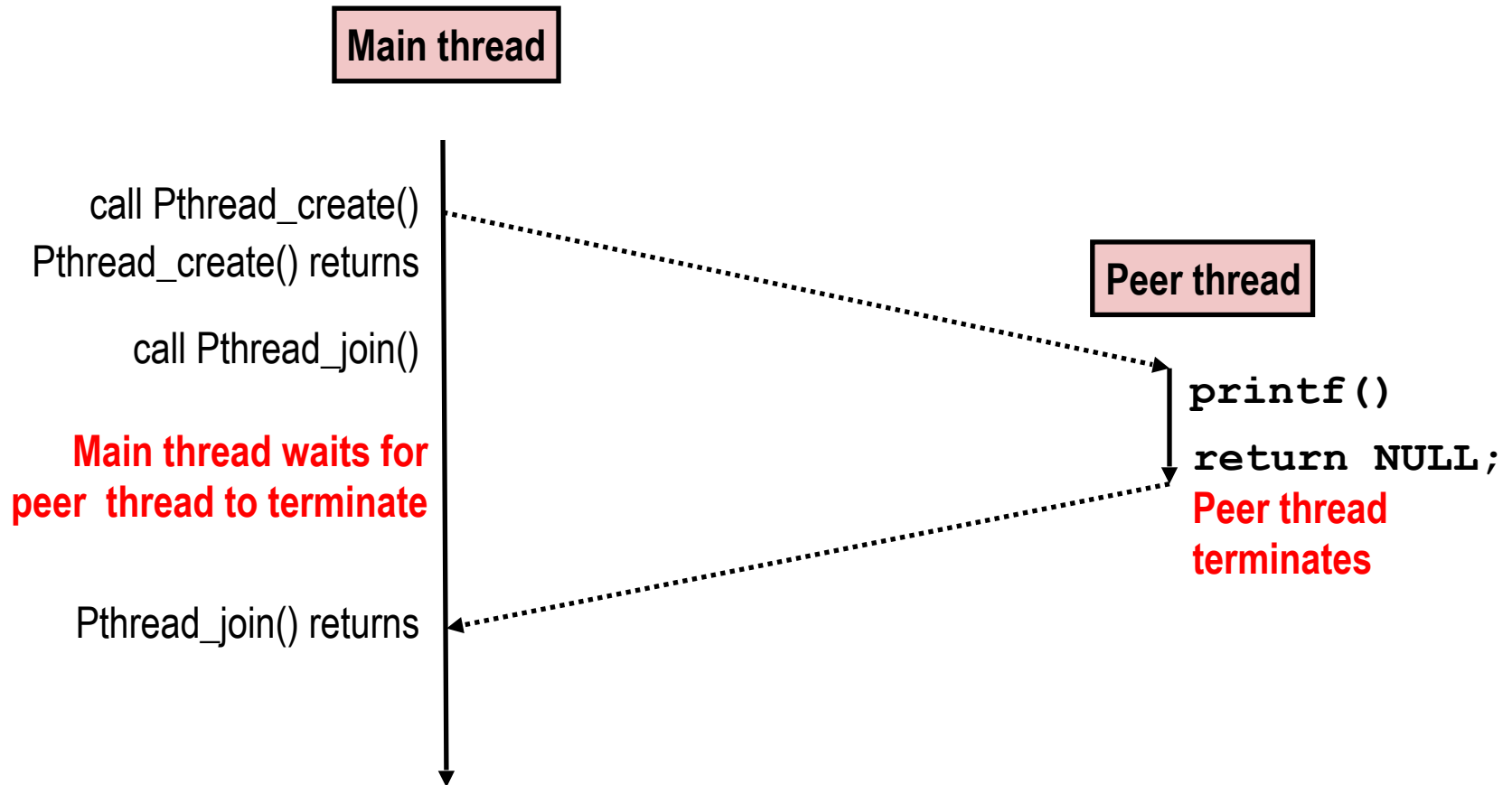
Execution of Threaded “hello, world”



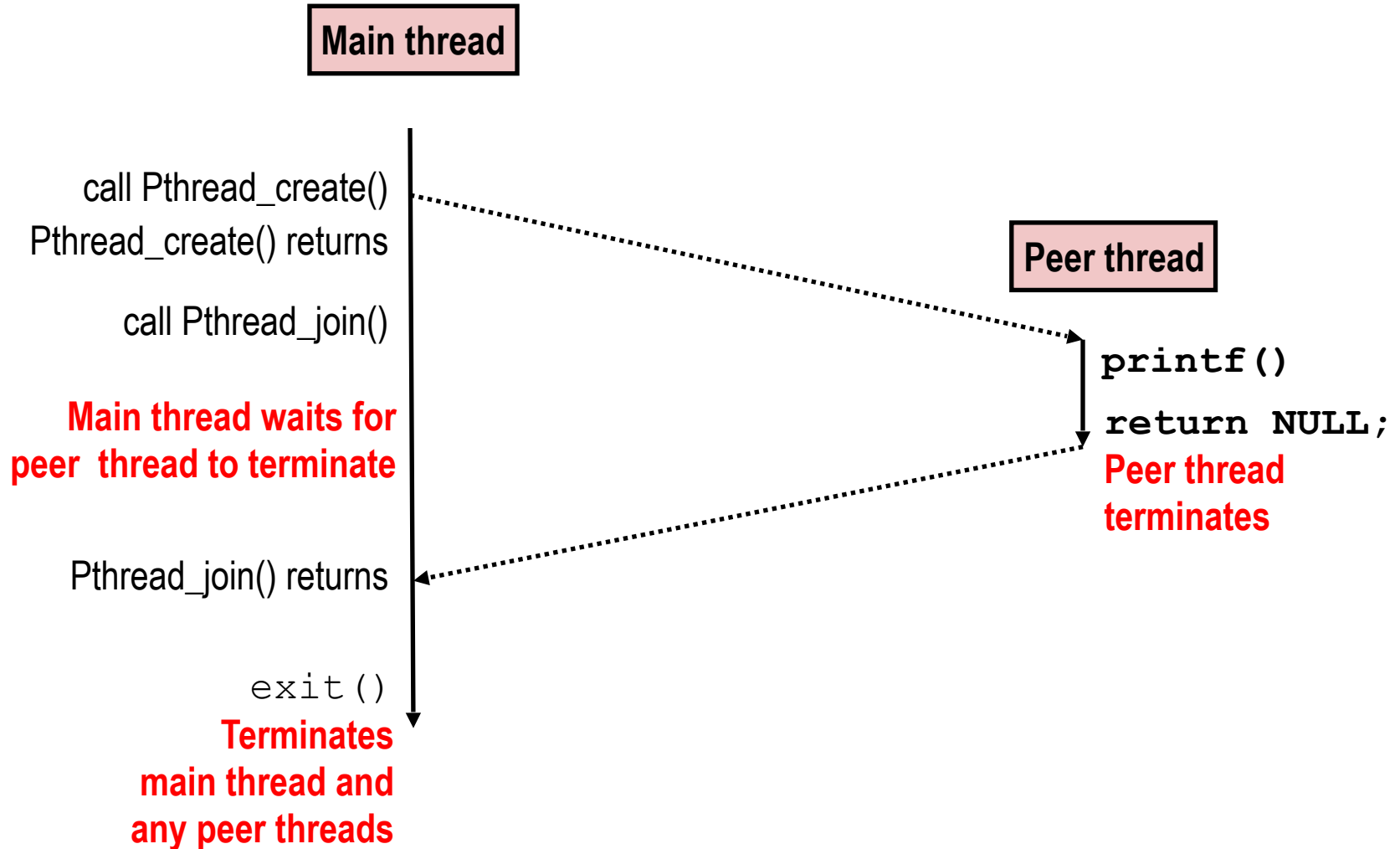
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Shared Variables in Threaded C Programs

- One great thing about threads is that they can share same program variables.
- Question: Which variables in a threaded C program are shared?
- Intuitively, the answer is as simple as “*global variables are shared*” and “*stack variables are private*”. Not so simple in reality.

Shared code and data

Thread 1 (main thread)

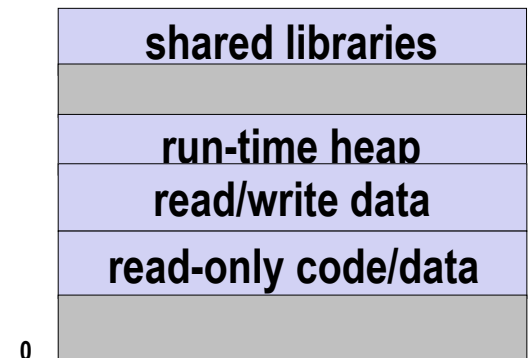
Thread 2 (peer thread)

stack 1

stack 2

Thread 1 context:
Data registers
Condition codes
SP1
PC1

Thread 2 context:
Data registers
Condition codes
SP2
PC2



Kernel context:
VM structures
Descriptor table
brk pointer

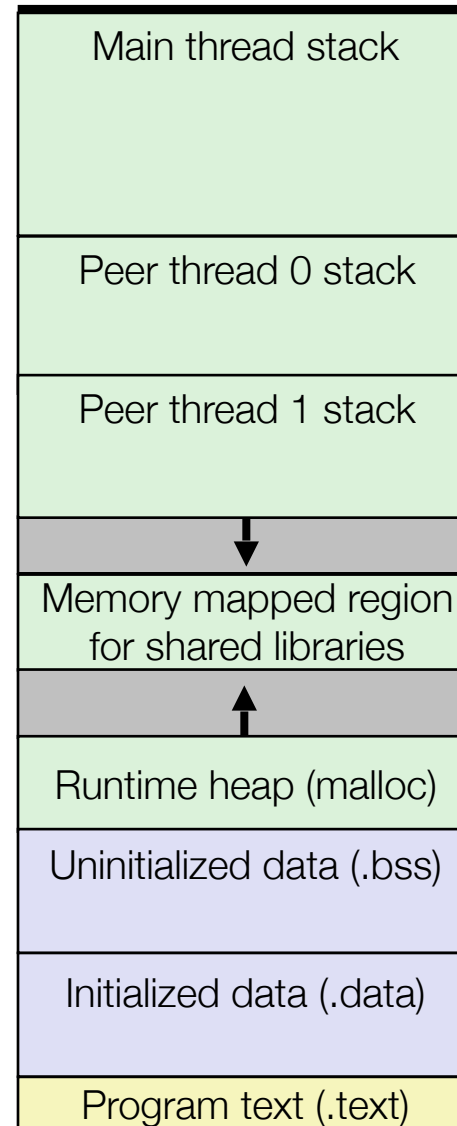
Example Program to Illustrate Sharing

```
char **ptr; /* global var */

void *thread(void *vargp)
{
    long myid = (long)vargp;
    static int cnt = 0;
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           myid, ptr[myid], ++cnt);
    return NULL;
}

int main()
{
    long i;
    pthread_t tid;
    char *msgs[2] = {
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sharing.c



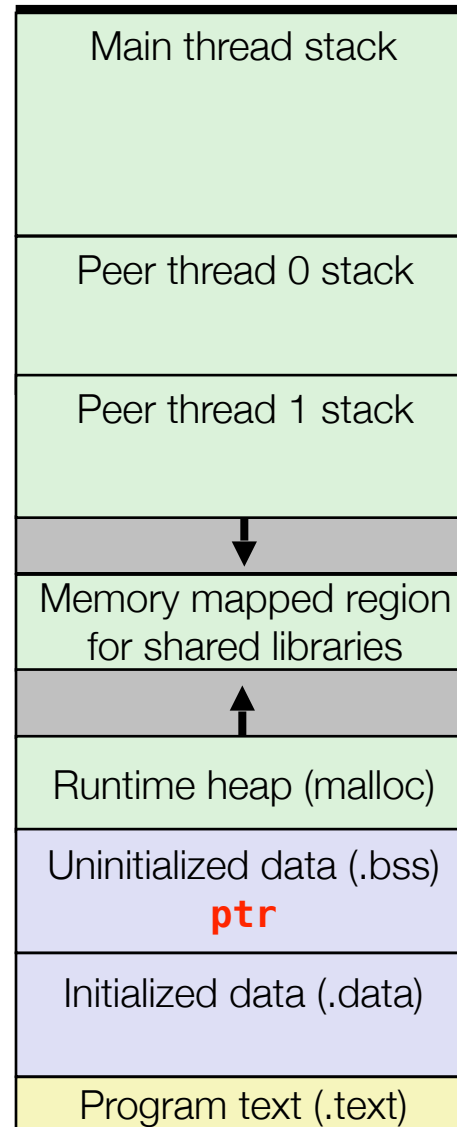
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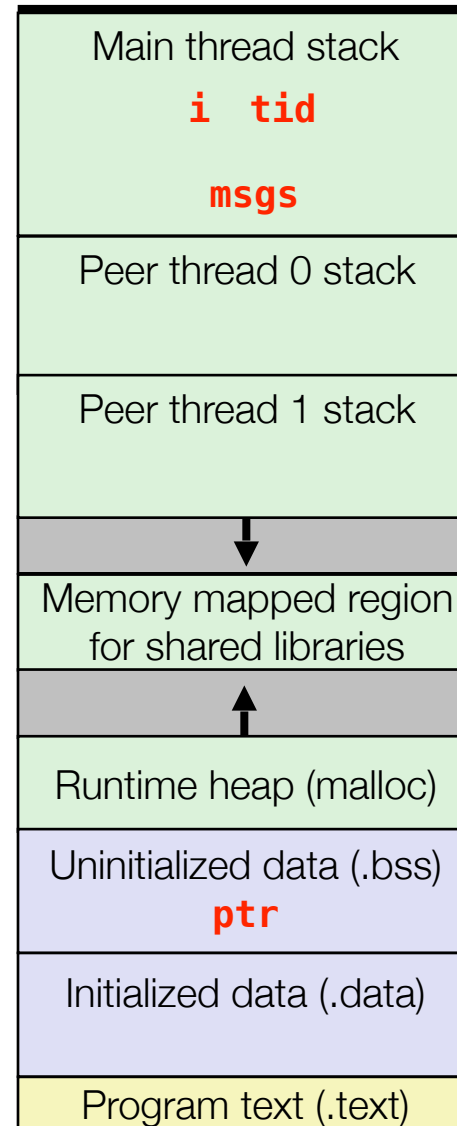
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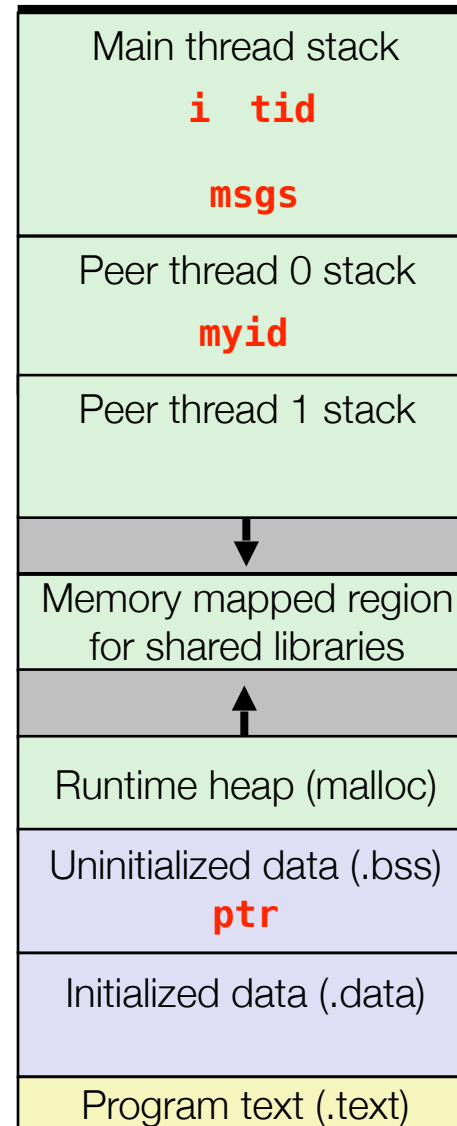
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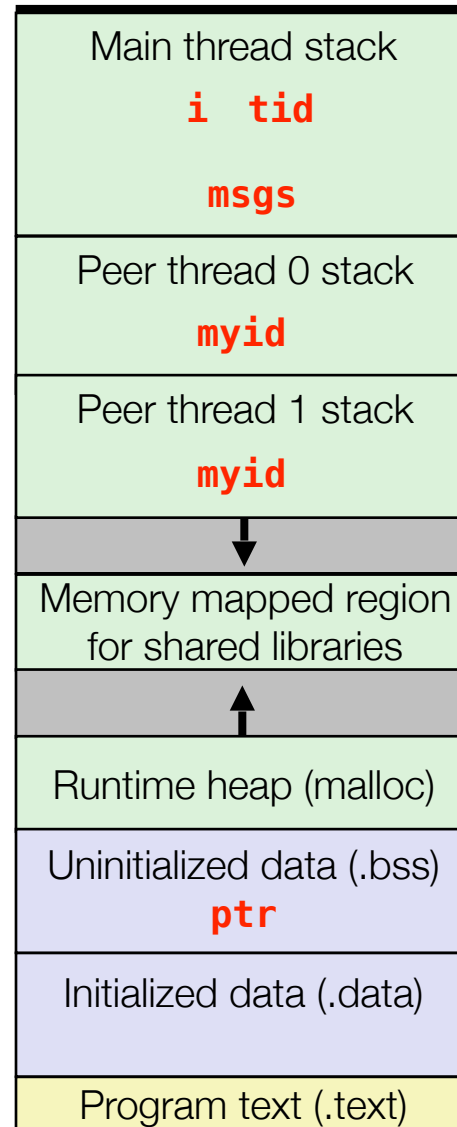
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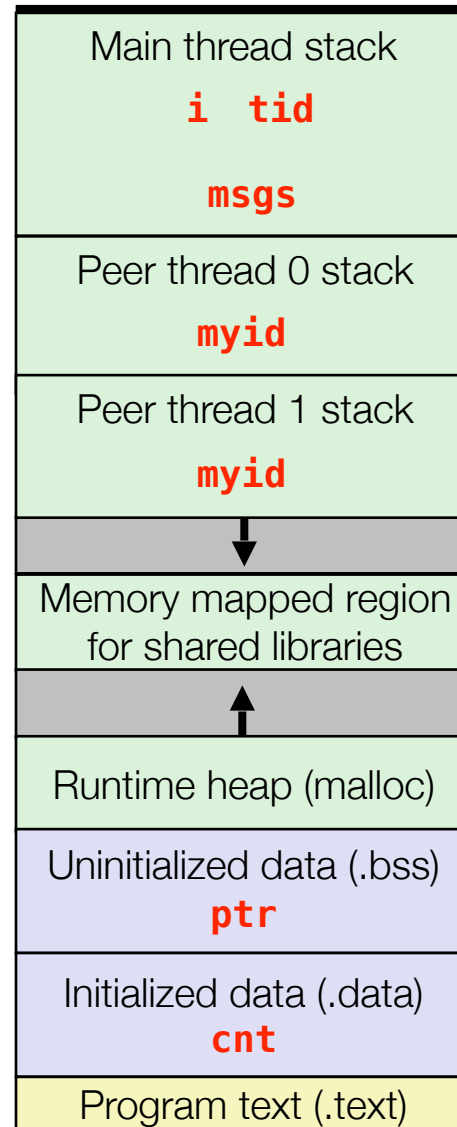
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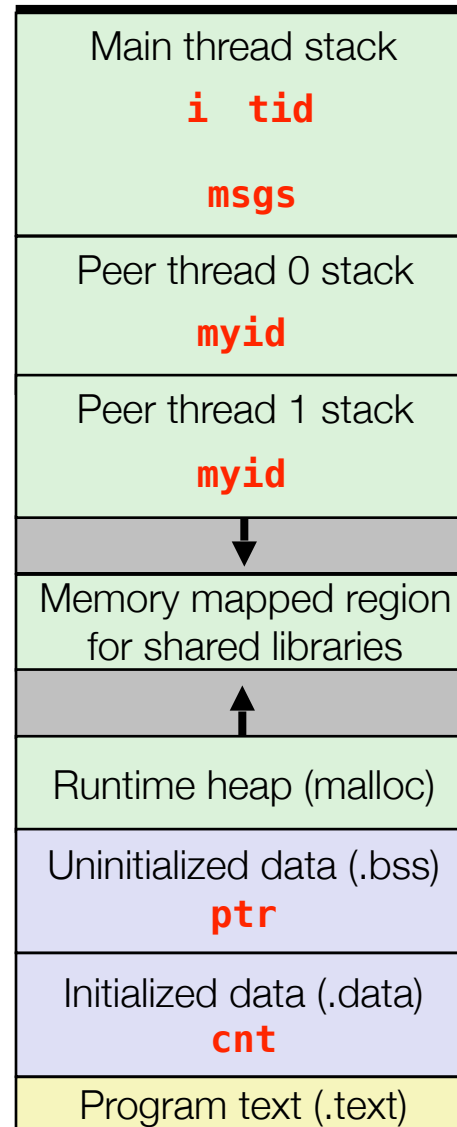


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main

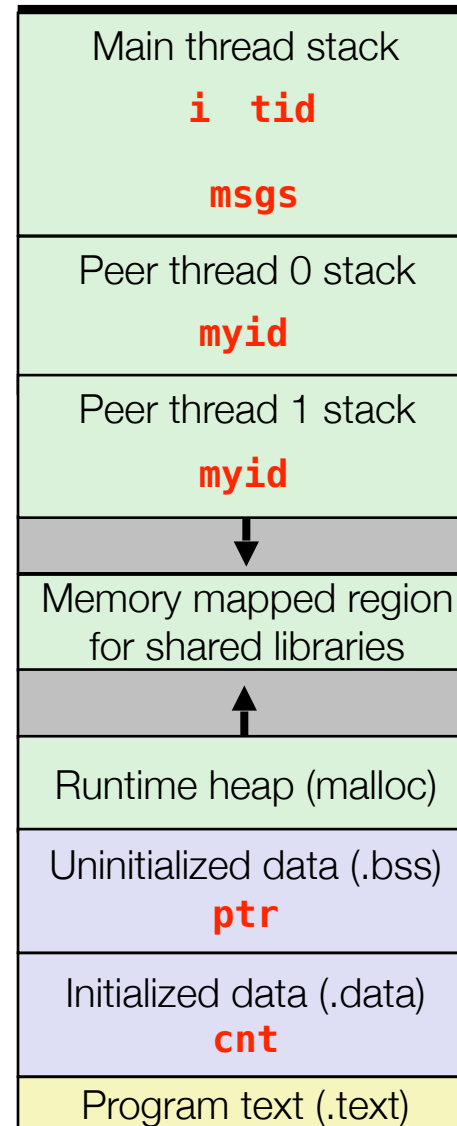
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sharing.c



main

p0 p1 main

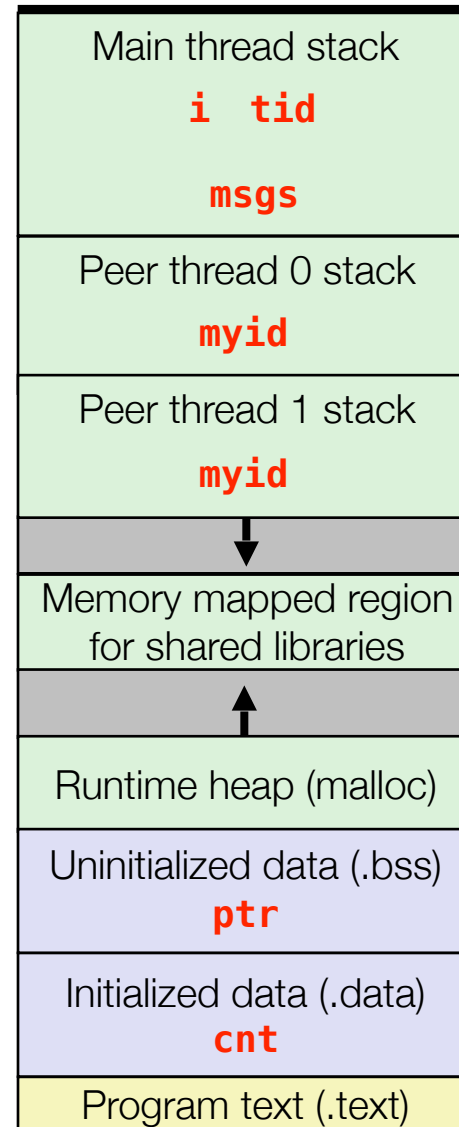
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sharing.c



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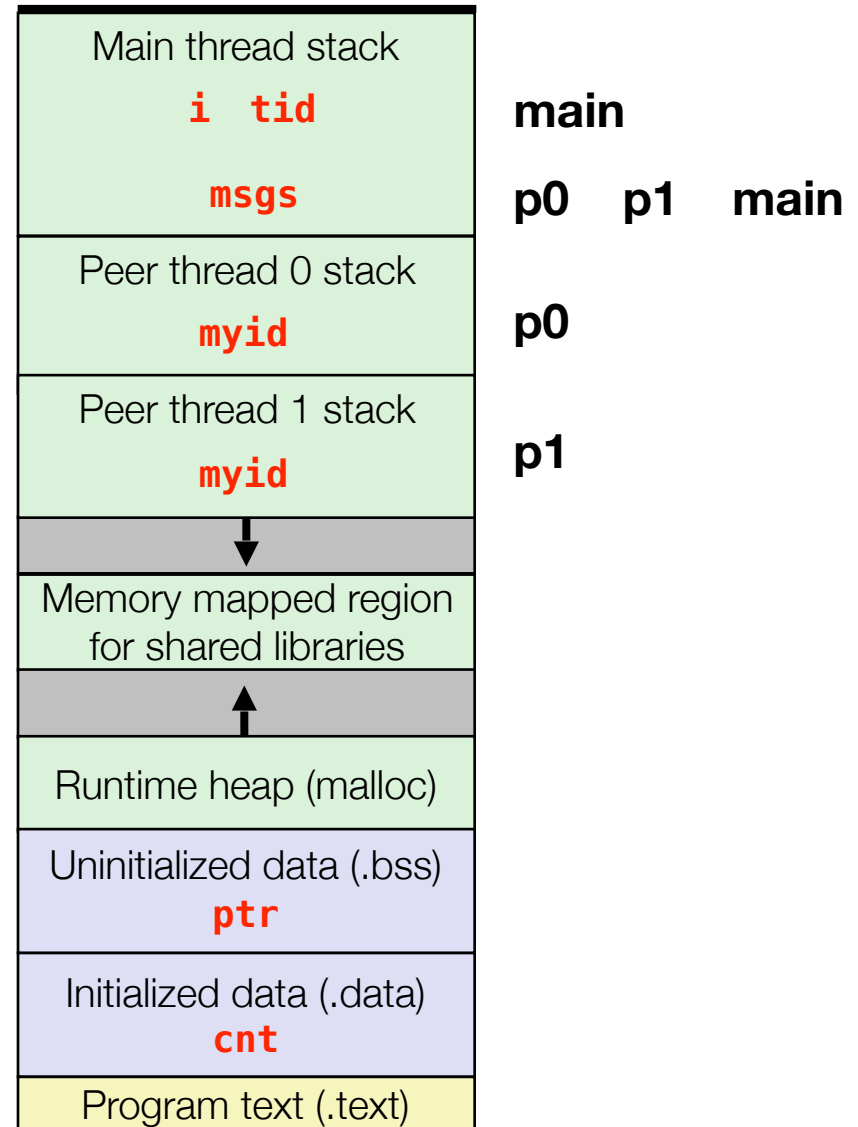
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sharing.c
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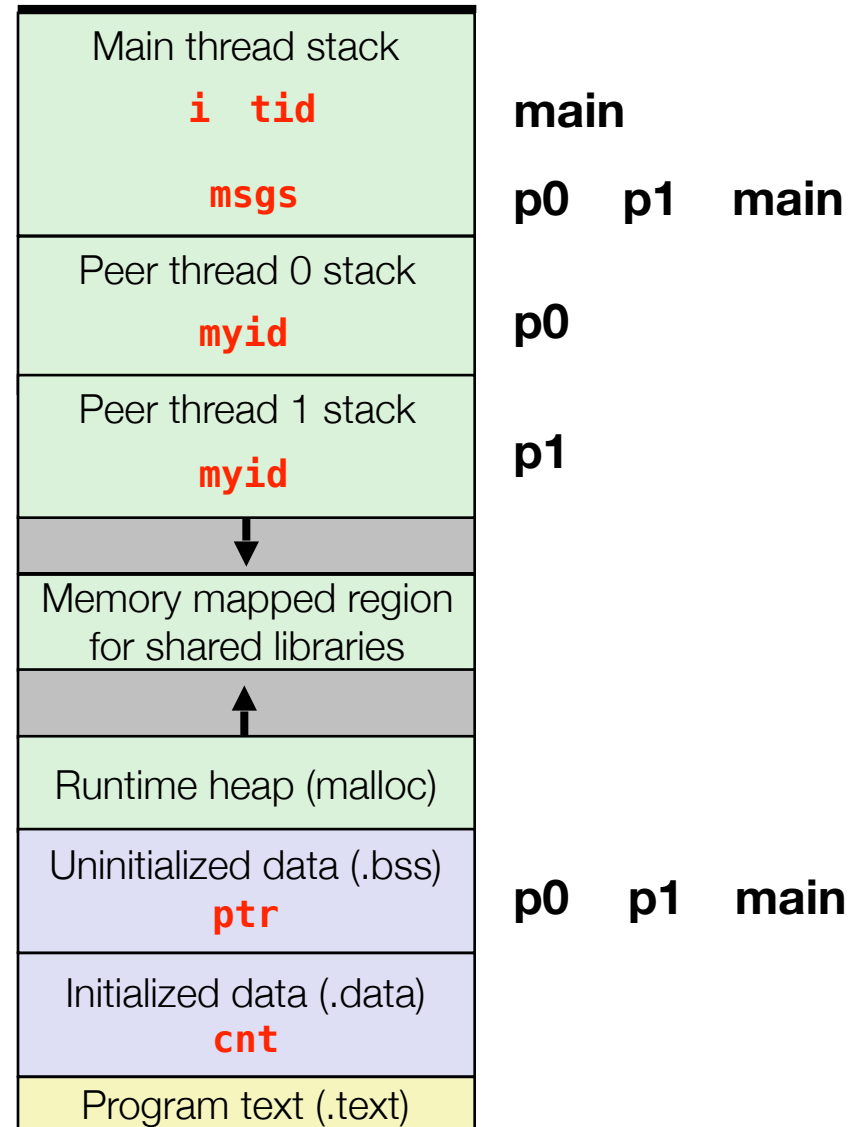
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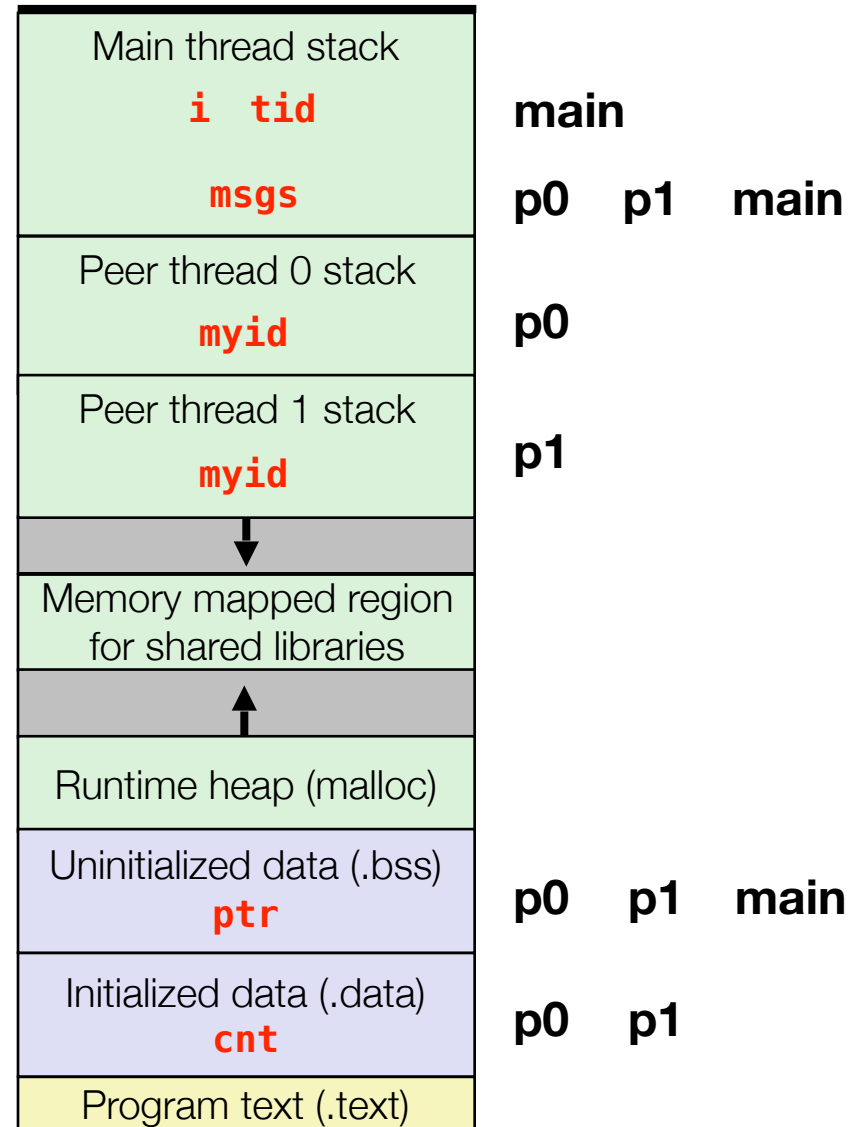
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sharing.c



Synchronizing Threads

- Shared variables are handy...
- ...but introduce the possibility of nasty *synchronization* errors.

Improper Synchronization

```
/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    pthread_t tid1, tid2;
    long niters = 10000;

    Pthread_create(&tid1, NULL,
                  thread, &niters);
    Pthread_create(&tid2, NULL,
                  thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * 10000))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}
```

badcnt.c

```
/* Thread routine */
void *thread(void *vargp)
{
    long i, niters =
        *((long *)vargp);

    for (i = 0; i < niters; i++)
        cnt++;

    return NULL;
}
```

Improper Synchronization

```
/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    pthread_t tid1, tid2;
    long niters = 10000;

    Pthread_create(&tid1, NULL,
                  thread, &niters);
    Pthread_create(&tid2, NULL,
                  thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * 10000))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}
badcnt.c
```

```
/* Thread routine */
void *thread(void *vargp)
{
    long i, niters =
        *((long *)vargp);

    for (i = 0; i < niters; i++)
        cnt++;

    return NULL;
}
```

```
linux> ./badcnt
OK cnt=20000
```

```
linux> ./badcnt
BOOM! cnt=13051
```

cnt should equal 20,000.

What went wrong?

Assembly Code for Counter Loop

C code for counter loop in thread i

```
for (i = 0; i < niters; i++)  
    cnt++;
```

Asm code for thread i

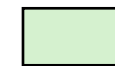
<pre>movq (%rdi), %rcx testq %rcx, %rcx jle .L2 movl \$0, %eax</pre>	} H_i : Head
<pre>.L3: movq cnt(%rip), %rdx addq \$1, %rdx movq %rdx, cnt(%rip)</pre>	
<pre>addq \$1, %rax cmpq %rcx, %rax jne .L3 .L2:</pre>	} T_i : Tail

Concurrent Execution

- **Key observation:** In general, any sequentially consistent interleaving is possible, but some give an unexpected result!

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L ₁	0	-	0
1	U ₁	1	-	0
1	S ₁	1	-	1
2	L ₂	-	1	1
2	U ₂	-	2	1
2	S ₂	-	2	2



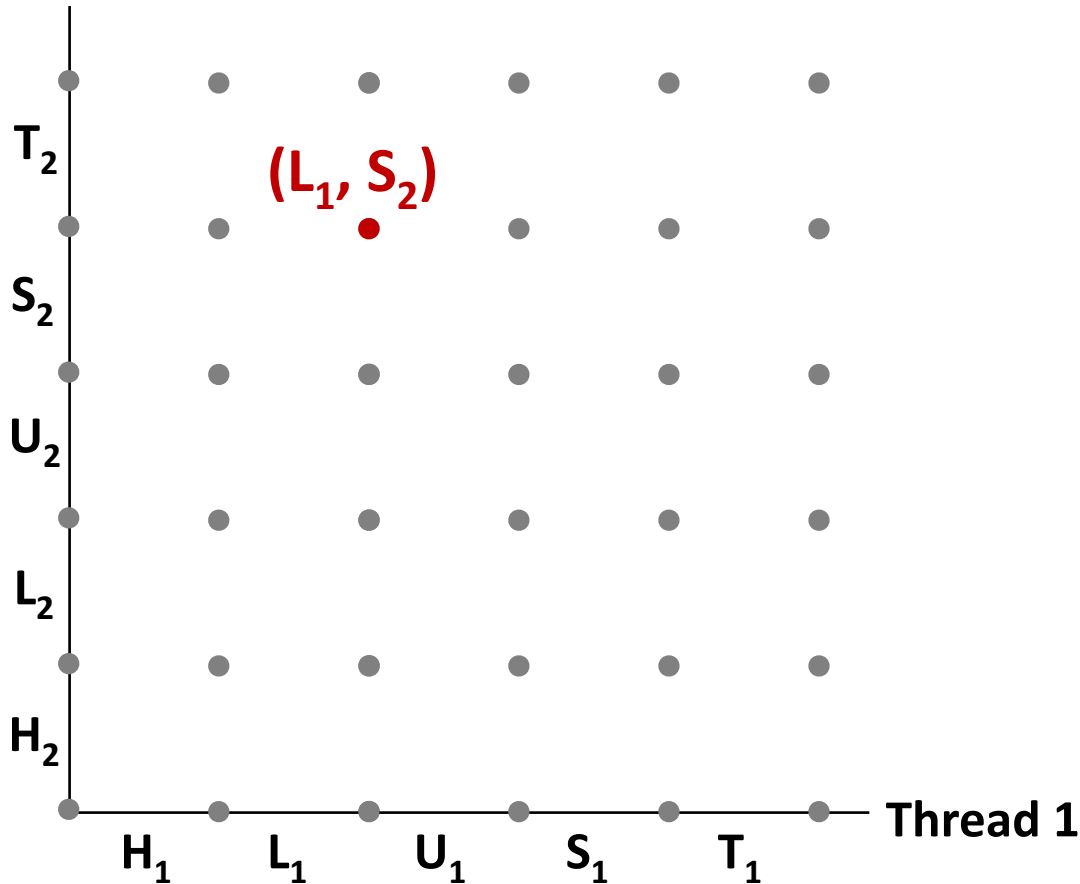
**Thread 1
critical section**



**Thread 2
critical section**

Progress Graphs

Thread 2



A *progress graph* depicts the discrete *execution state space* of concurrent threads.

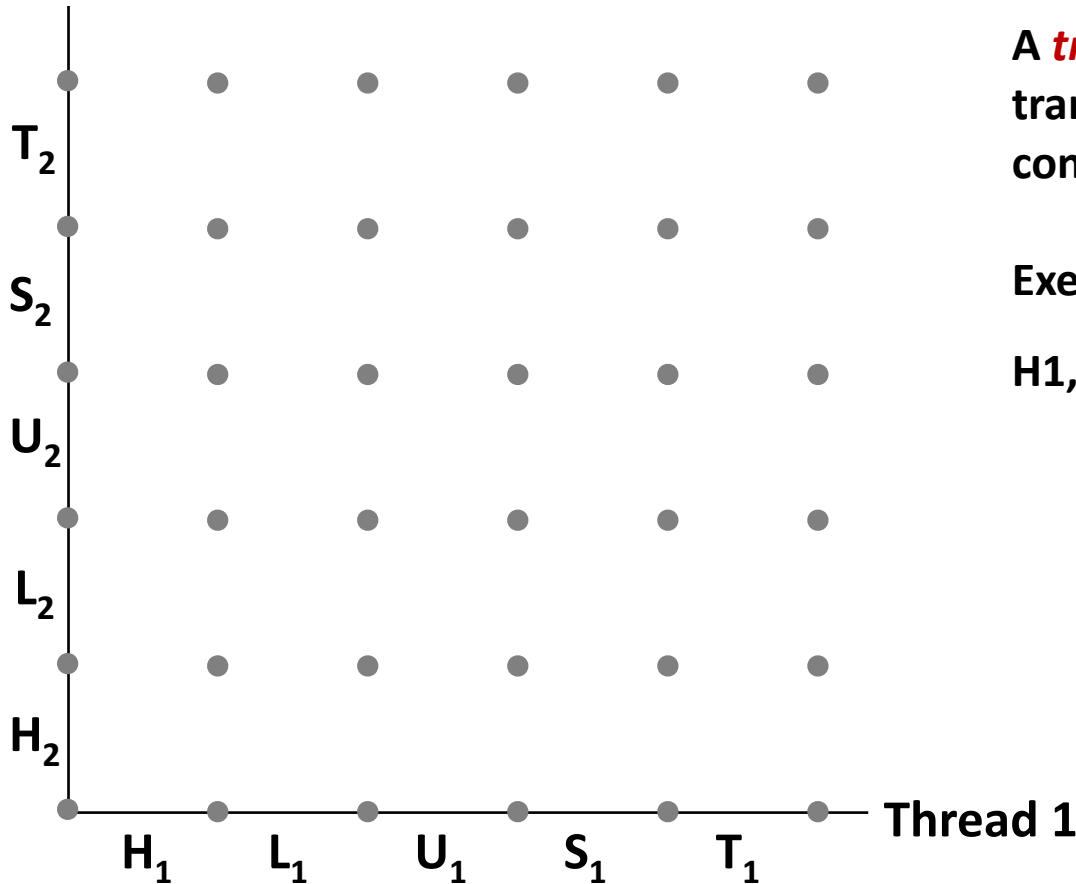
Each axis corresponds to the sequential order of instructions in a thread.

Each point corresponds to a possible *execution state* $(Inst_1, Inst_2)$.

E.g., (L_1, S_2) denotes state where thread 1 has completed L_1 and thread 2 has completed S_2 .

Trajectories in Progress Graphs

Thread 2



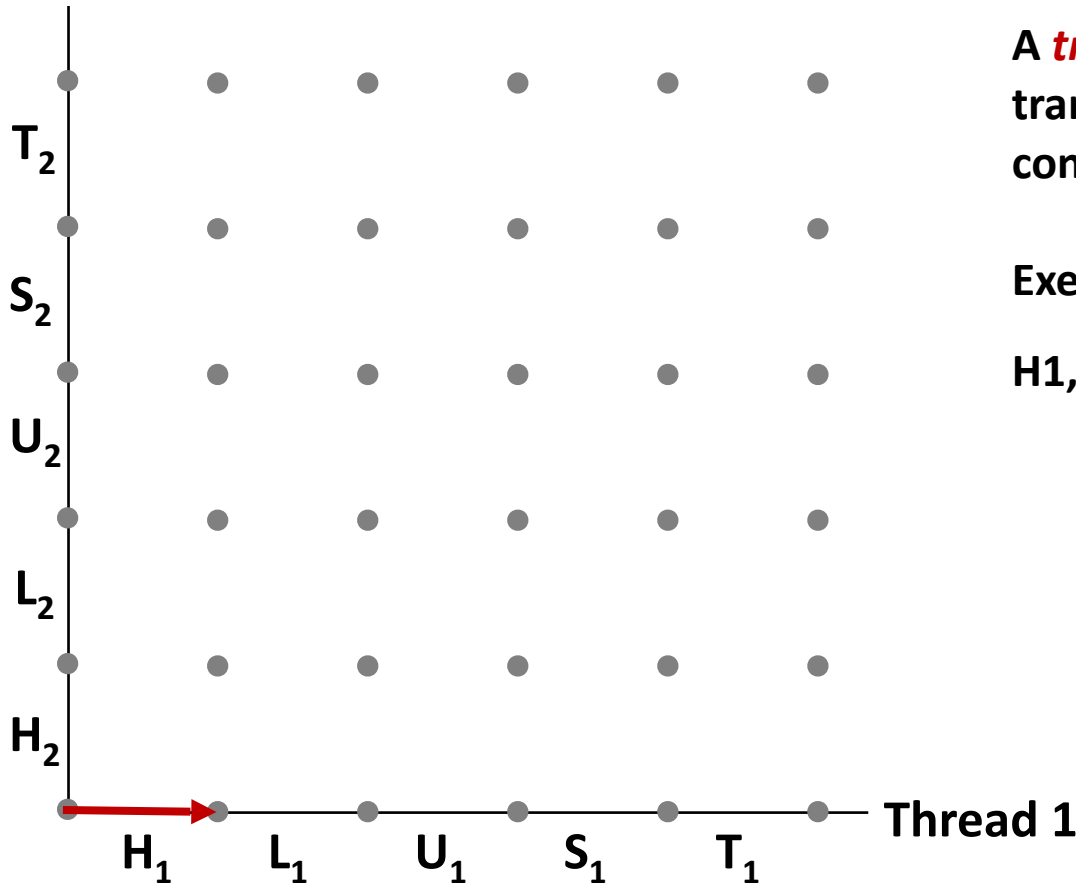
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

$H_1, L_1, U_1, S_1, T_1, H_2, L_2, U_2, S_2, T_2$

Trajectories in Progress Graphs

Thread 2



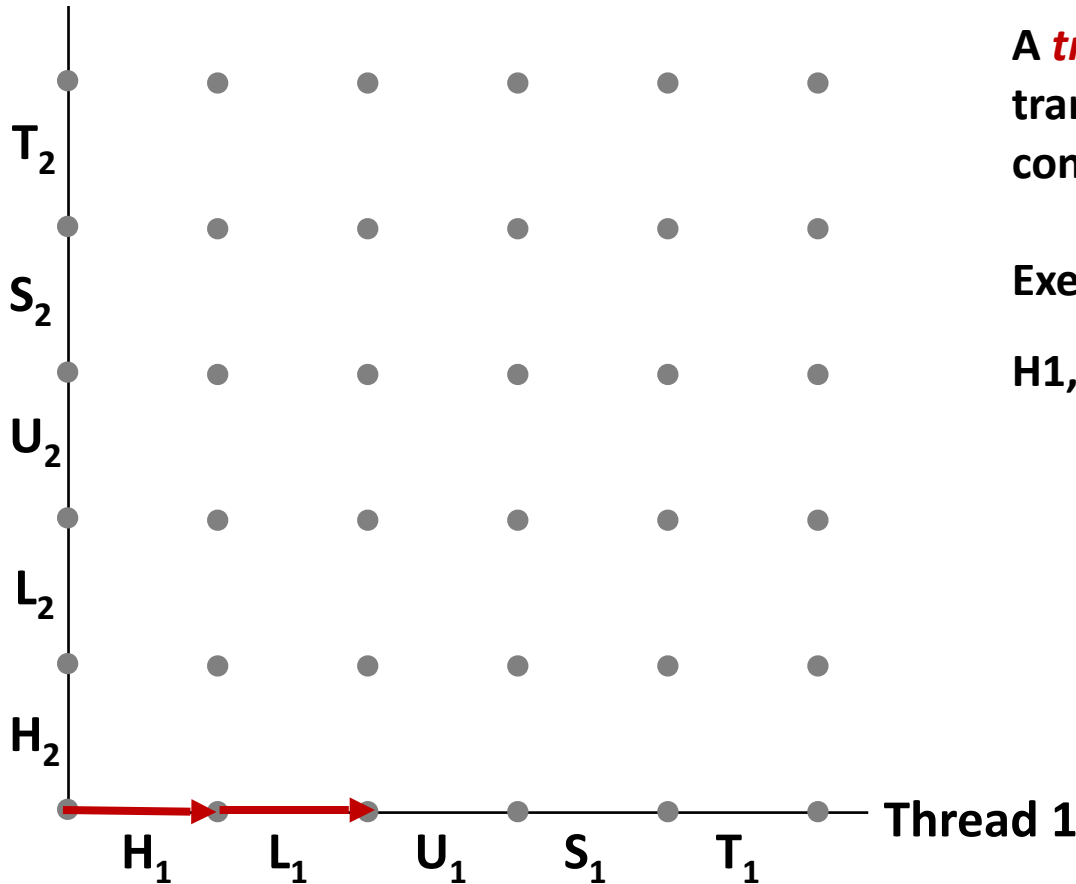
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

H₁, L₁, U₁, S₁, T₁, H₂, L₂, U₂, S₂, T₂

Trajectories in Progress Graphs

Thread 2



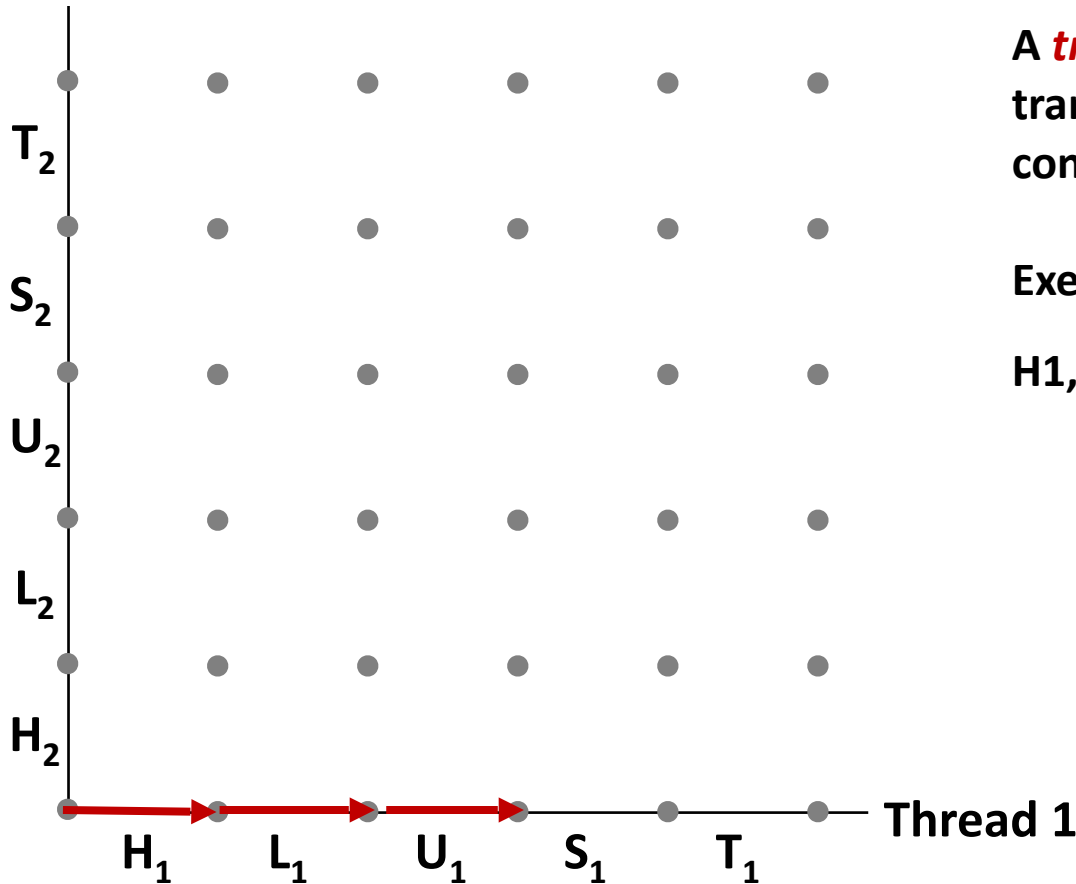
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

$H_1, L_1, U_1, S_1, T_1, H_2, L_2, U_2, S_2, T_2$

Trajectories in Progress Graphs

Thread 2



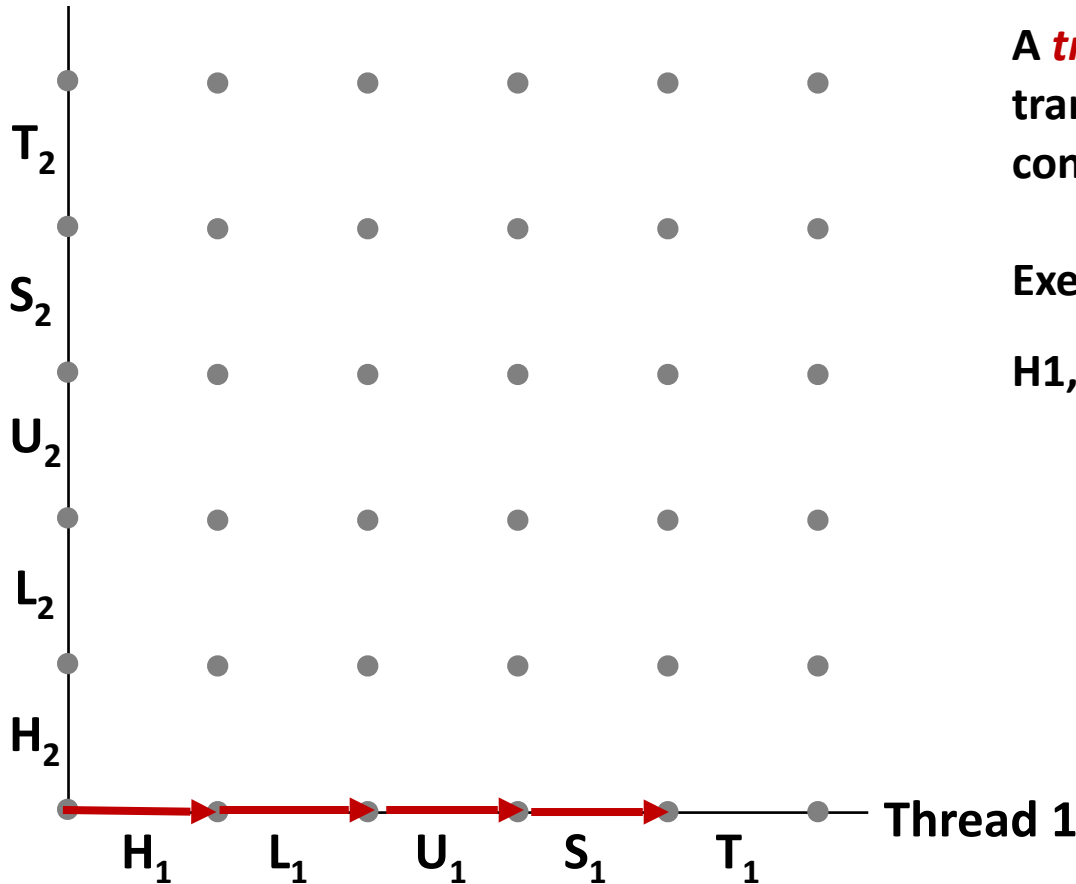
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

H₁, L₁, U₁, S₁, T₁, H₂, L₂, U₂, S₂, T₂

Trajectories in Progress Graphs

Thread 2



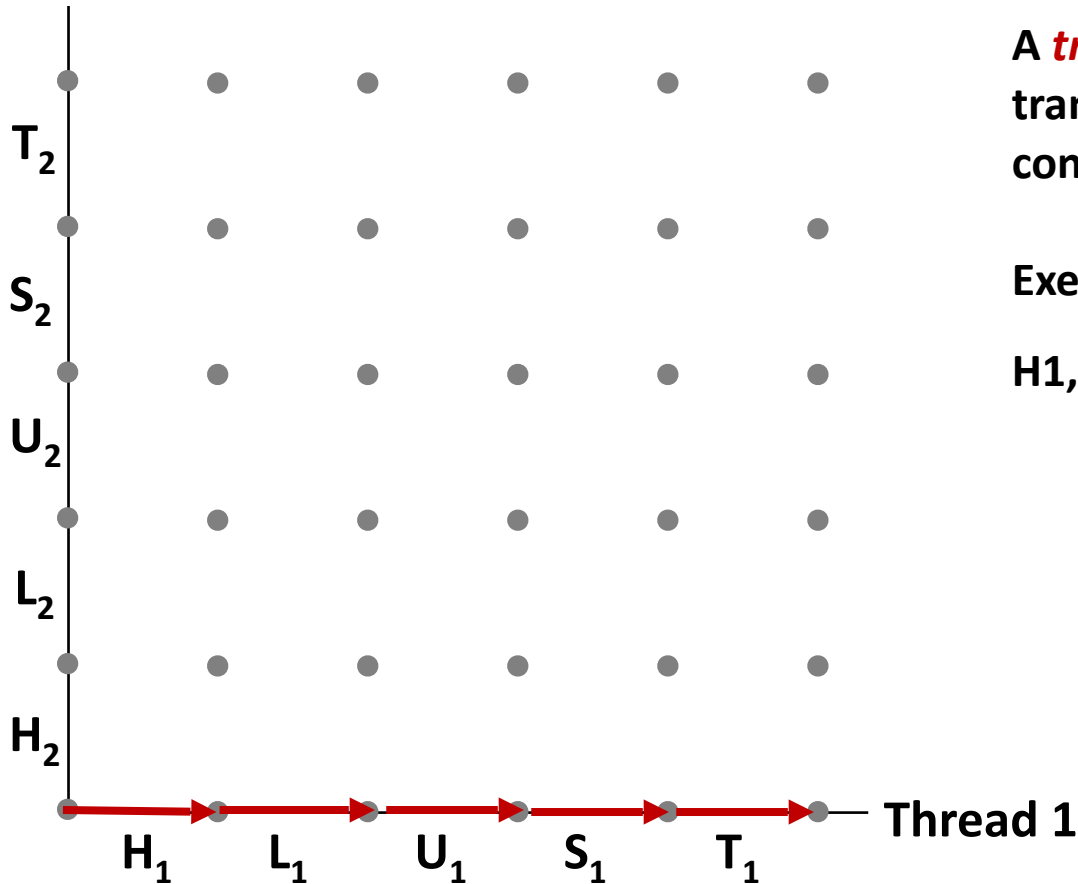
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

$H_1, L_1, U_1, S_1, T_1, H_2, L_2, U_2, S_2, T_2$

Trajectories in Progress Graphs

Thread 2



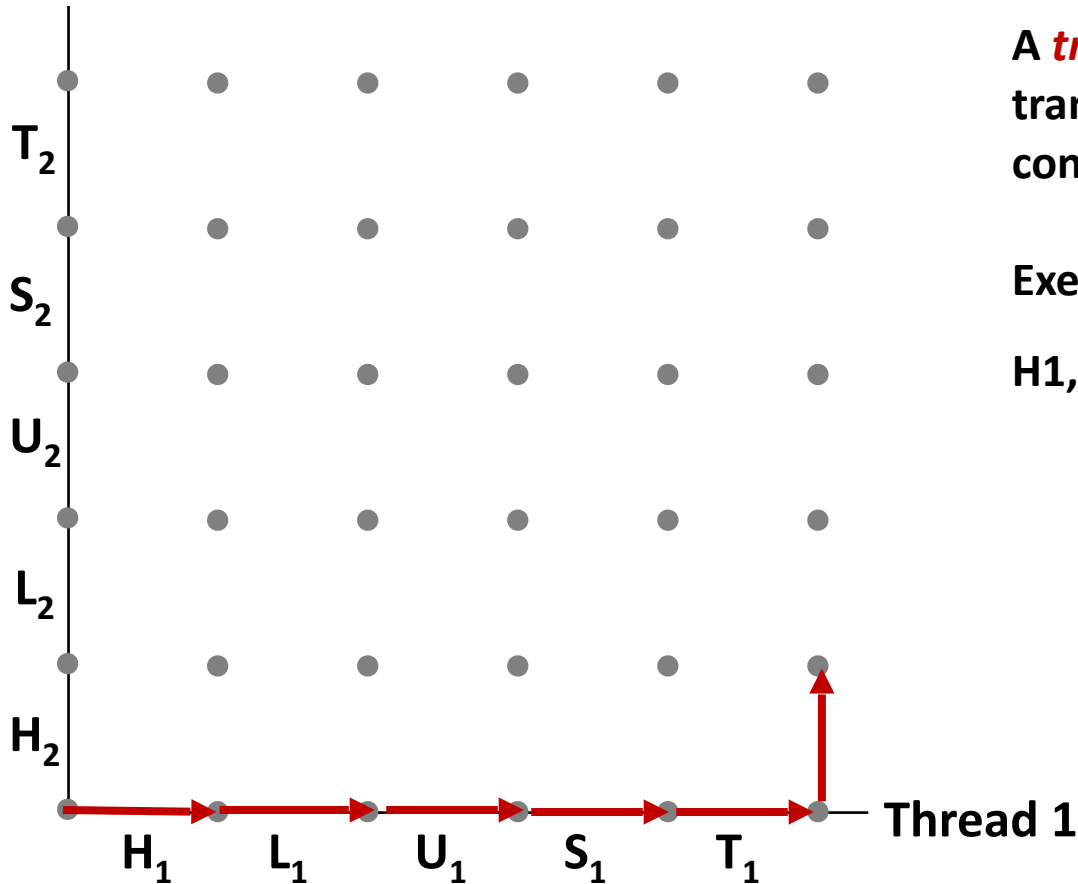
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

$H_1, L_1, U_1, S_1, T_1, H_2, L_2, U_2, S_2, T_2$

Trajectories in Progress Graphs

Thread 2



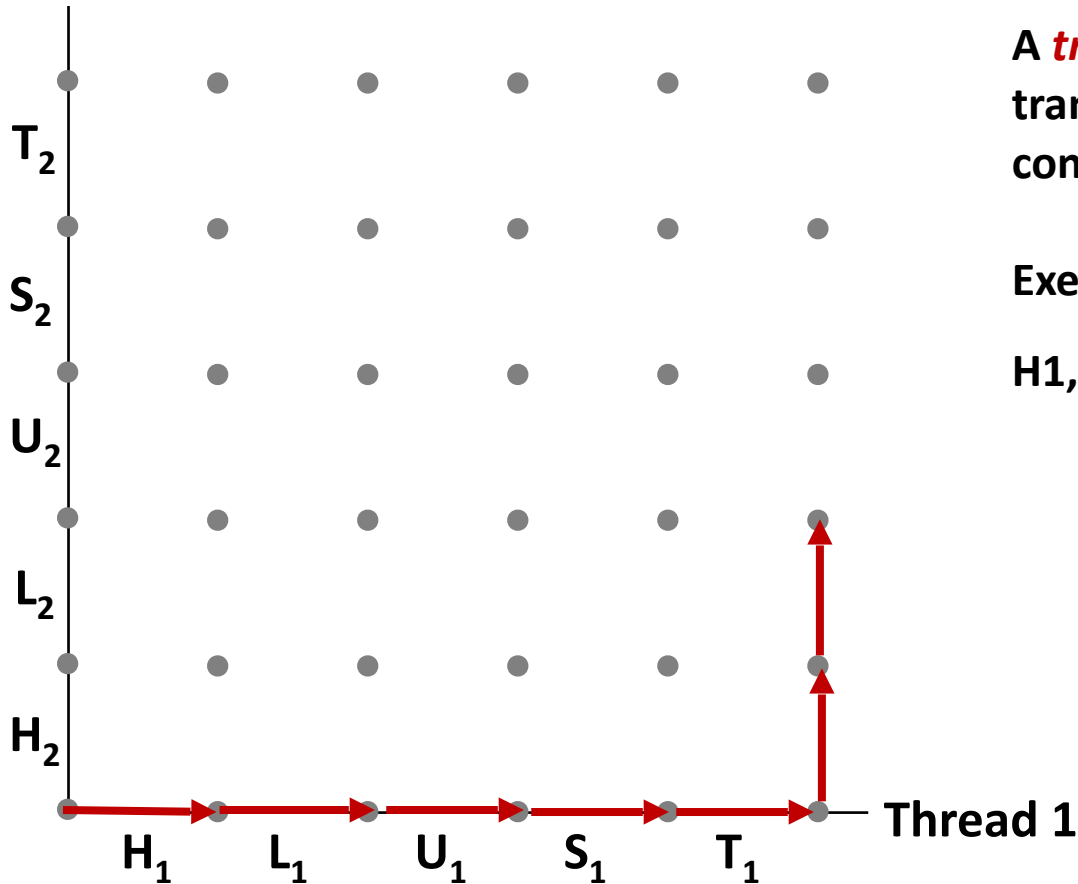
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

H₁, L₁, U₁, S₁, T₁, H₂, L₂, U₂, S₂, T₂

Trajectories in Progress Graphs

Thread 2



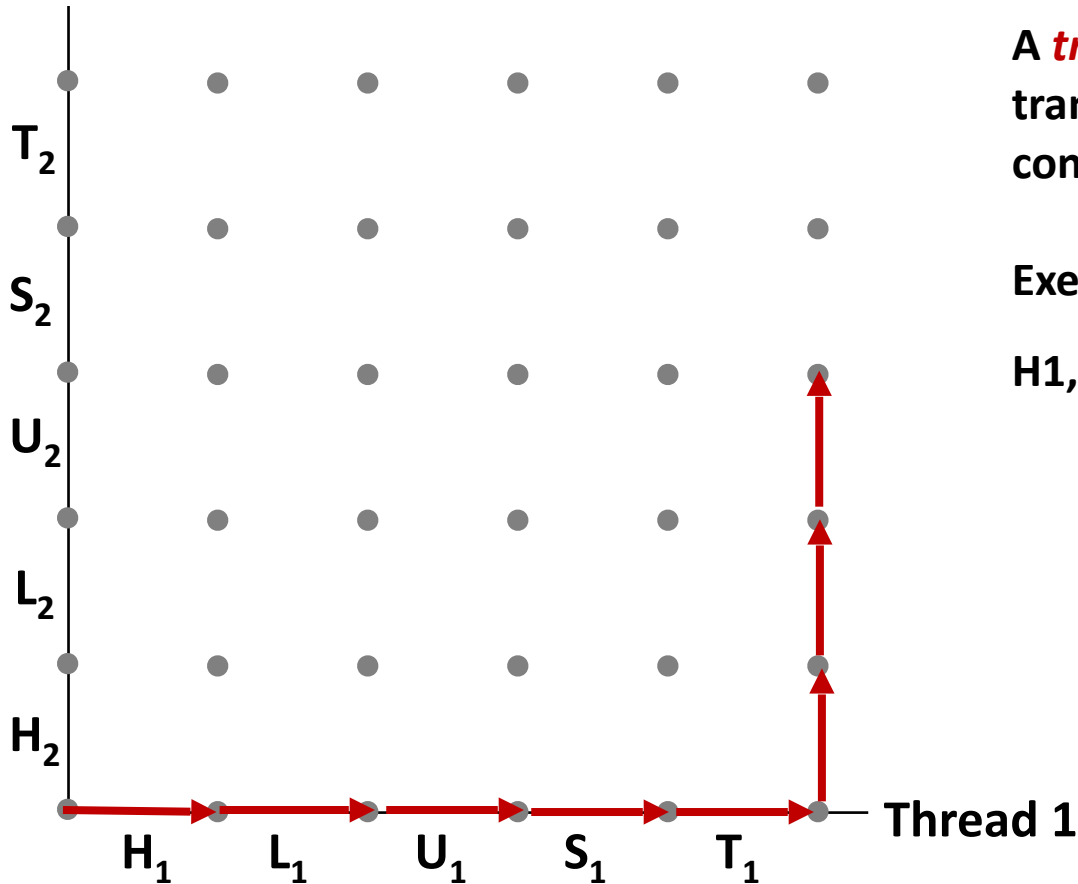
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

$H_1, L_1, U_1, S_1, T_1, H_2, L_2, U_2, S_2, T_2$

Trajectories in Progress Graphs

Thread 2



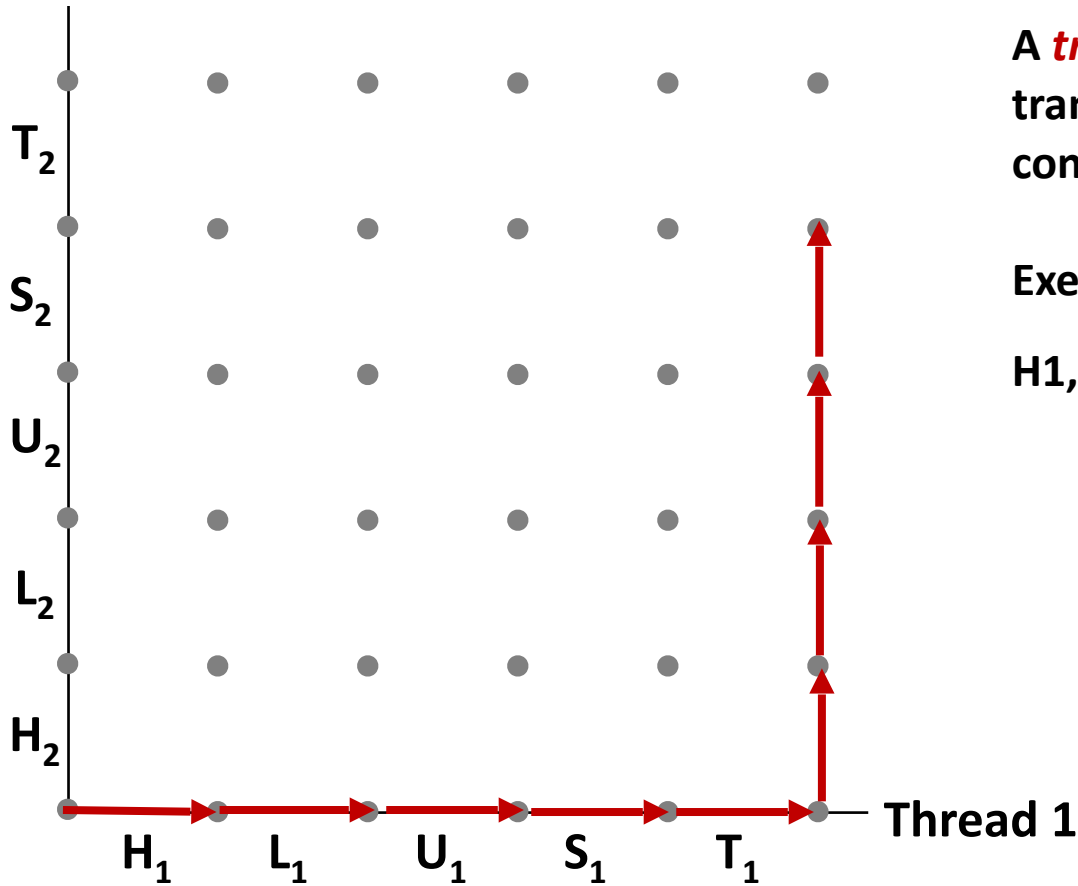
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

H1, L1, U1, S1, T1, H2, L2, U2, S2, T2

Trajectories in Progress Graphs

Thread 2



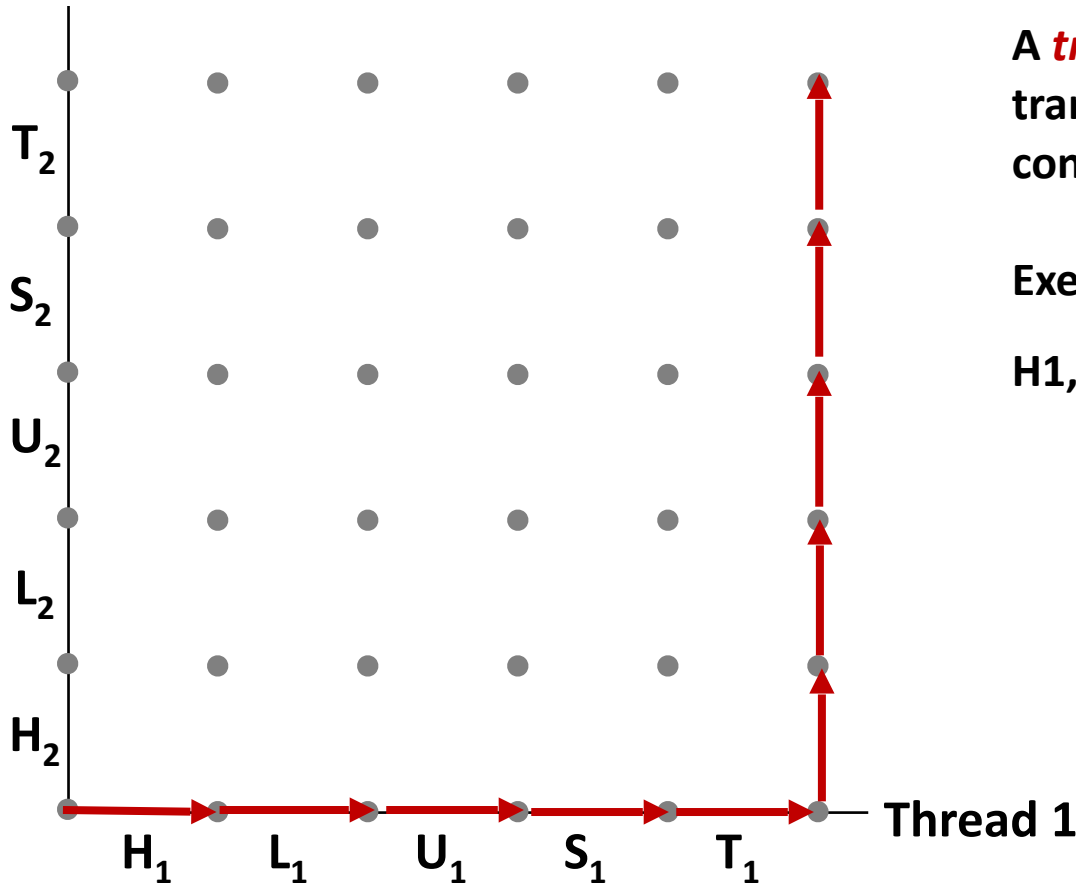
A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

H1, L1, U1, S1, T1, H2, L2, U2, S2, T2

Trajectories in Progress Graphs

Thread 2



A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Execution Ordering:

H1, L1, U1, S1, T1, H2, L2, U2, S2, T2

Concurrent Execution (cont)

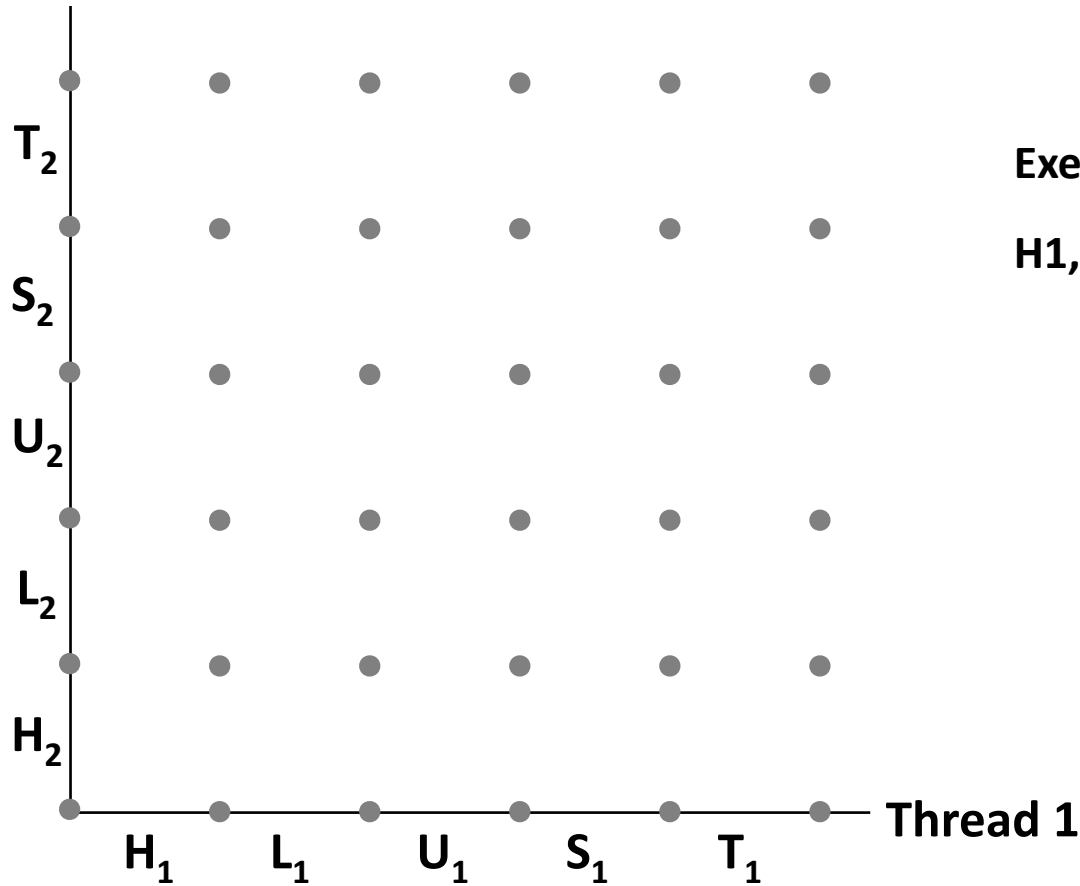
- Incorrect ordering: two threads increment the counter, but the result is 1 instead of 2

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L₁	0	-	0
1	U₁	1	-	0
2	L₂	-	0	0
1	S₁	1	-	1
2	U₂	-	1	1
2	S₂	-	1	1

Trajectories in Progress Graphs

Thread 2

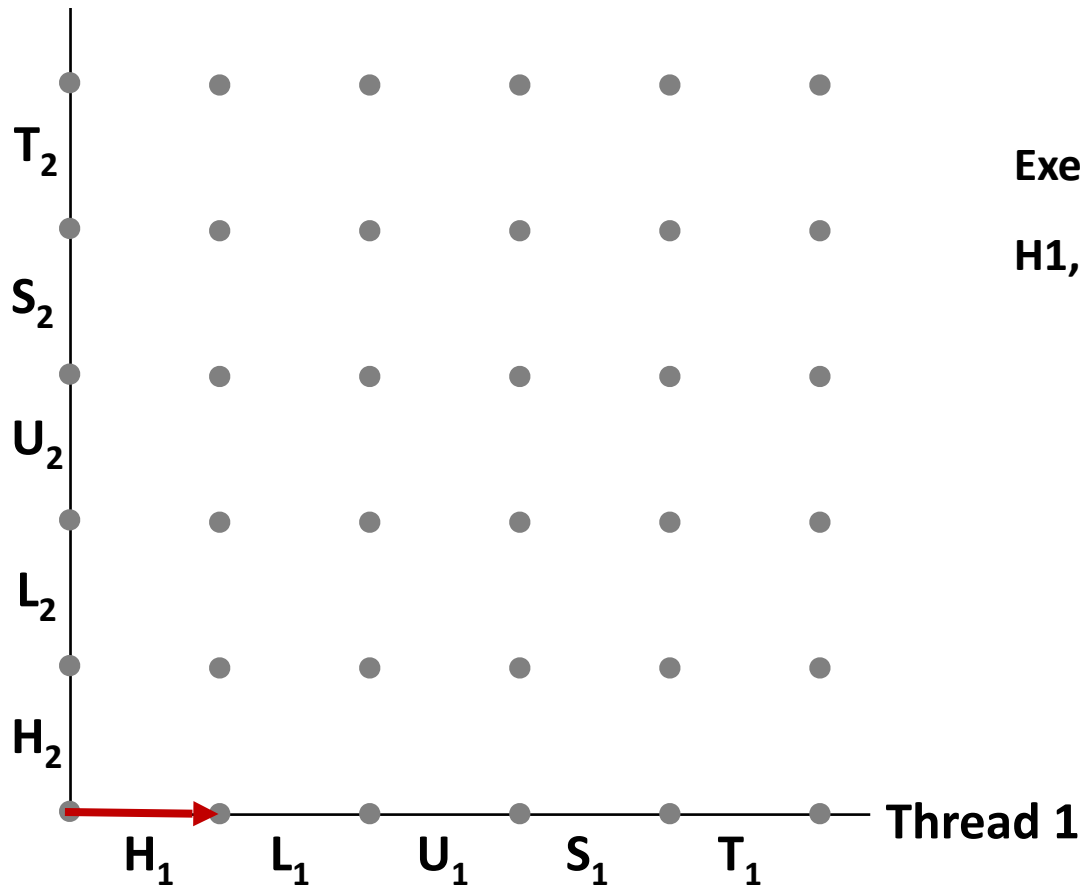


Execution Ordering:

H1, L1, U1, H2, L2, S1, U2, S2, T1, T2

Trajectories in Progress Graphs

Thread 2

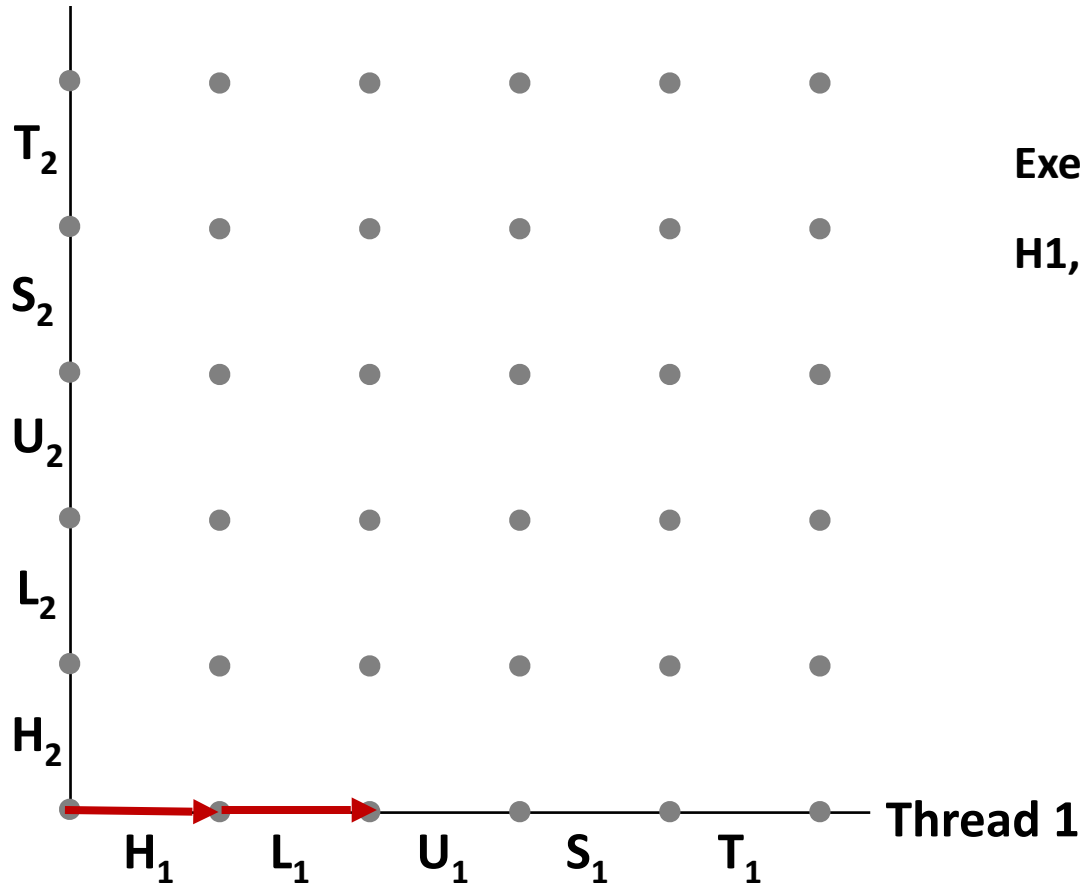


Execution Ordering:

H1, L1, U1, H2, L2, S1, U2, S2, T1, T2

Trajectories in Progress Graphs

Thread 2

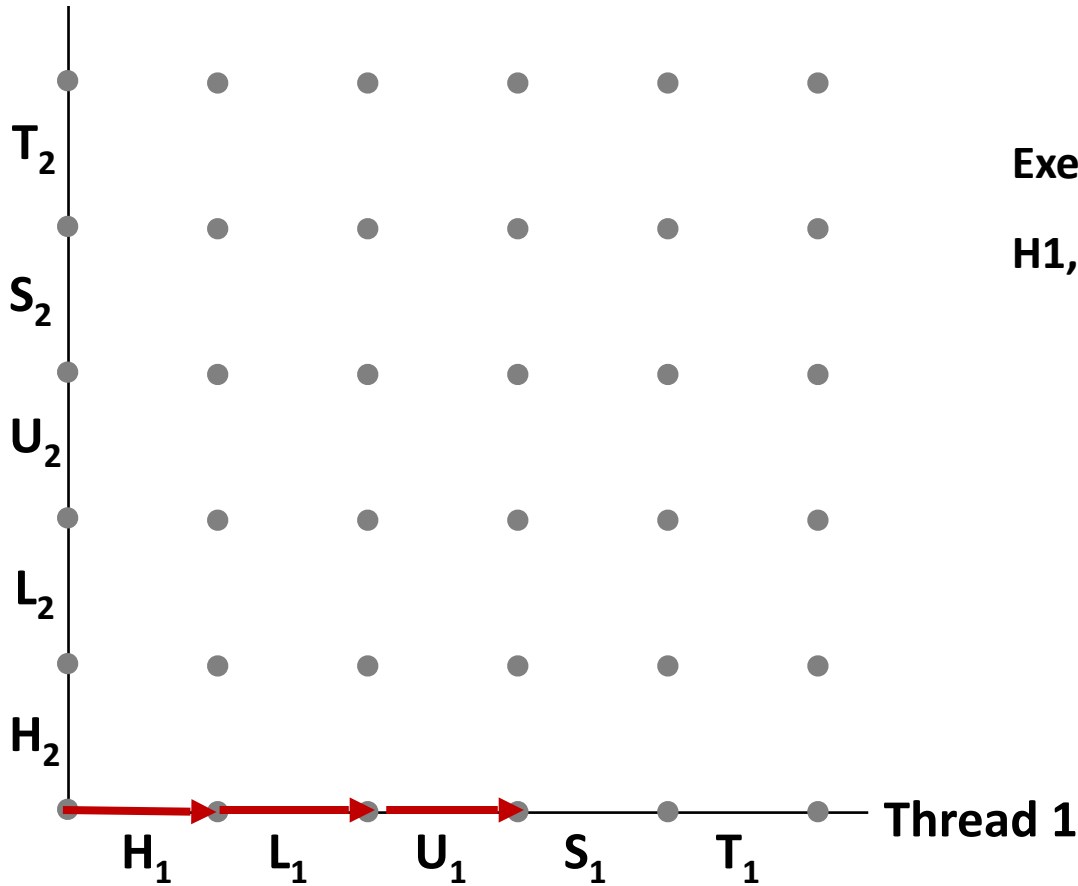


Execution Ordering:

H1, L1, U1, H2, L2, S1, U2, S2, T1, T2

Trajectories in Progress Graphs

Thread 2

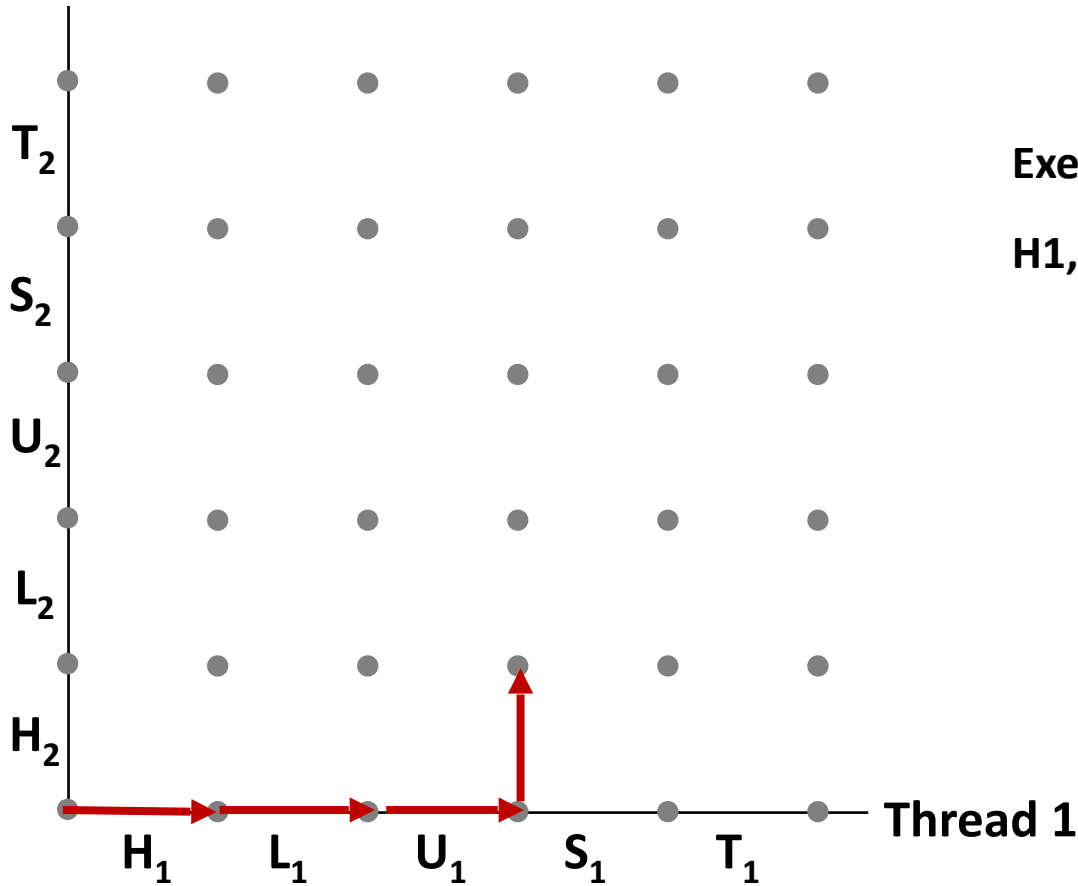


Execution Ordering:

$H_1, L_1, U_1, H_2, L_2, S_1, U_2, S_2, T_1, T_2$

Trajectories in Progress Graphs

Thread 2

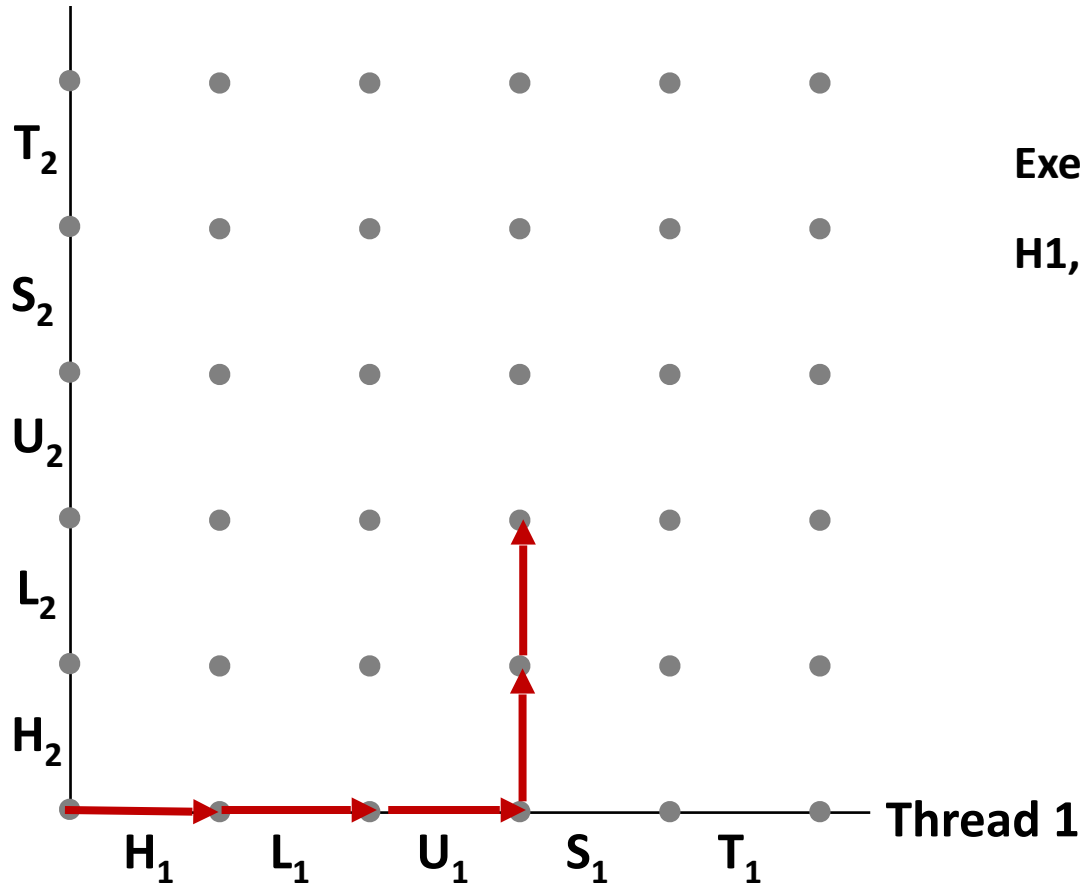


Execution Ordering:

$H_1, L_1, U_1, H_2, L_2, S_1, U_2, S_2, T_1, T_2$

Trajectories in Progress Graphs

Thread 2

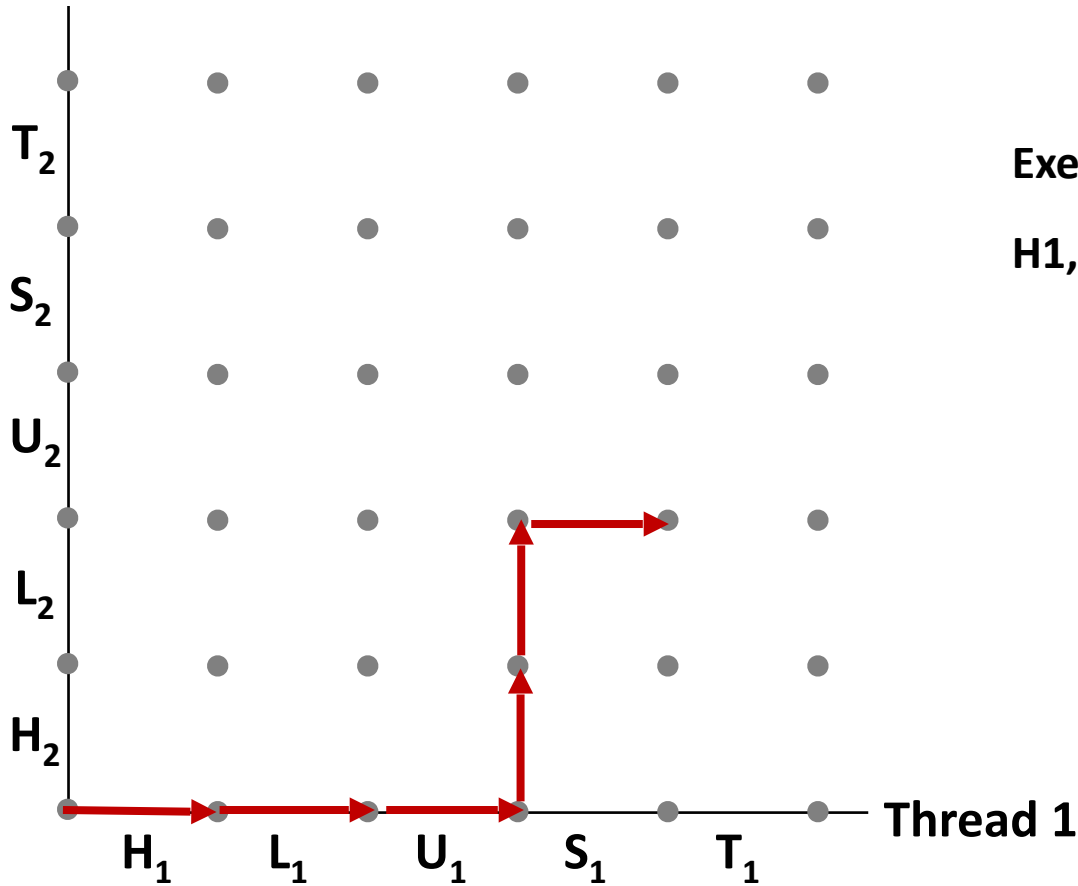


Execution Ordering:

$H_1, L_1, U_1, H_2, L_2, S_1, U_2, S_2, T_1, T_2$

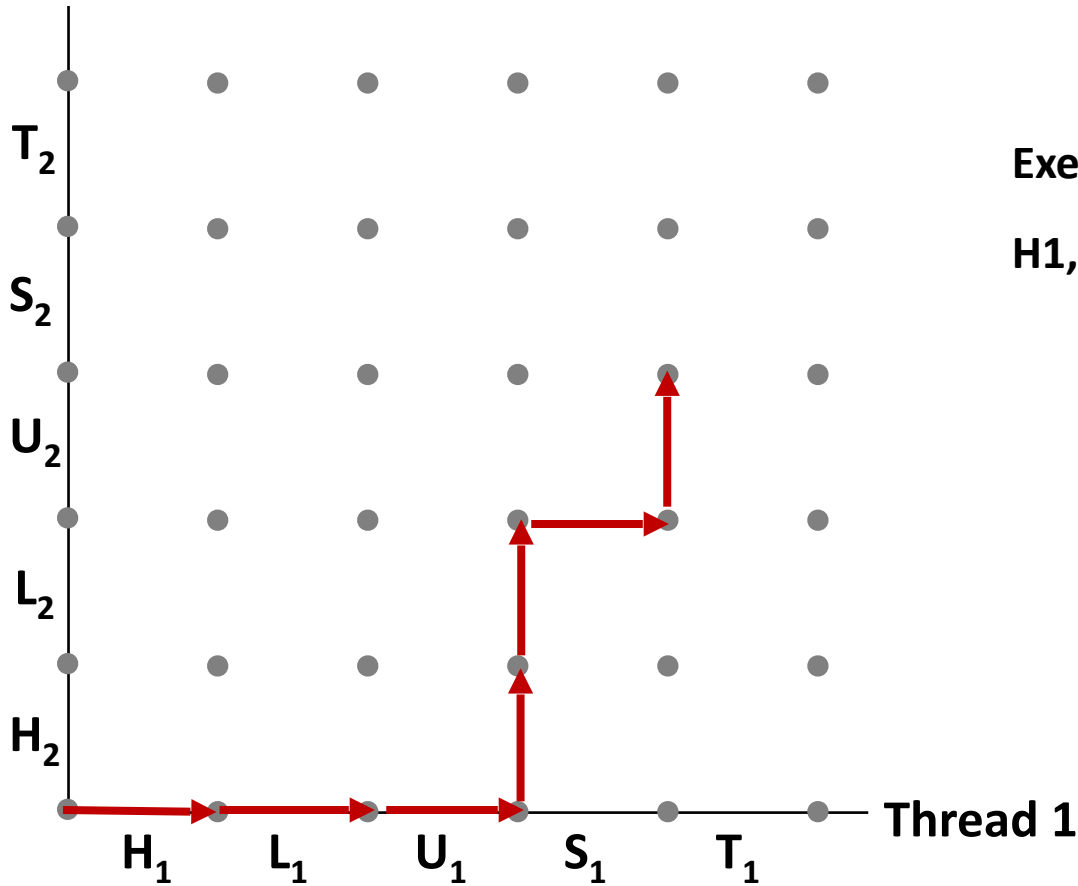
Trajectories in Progress Graphs

Thread 2



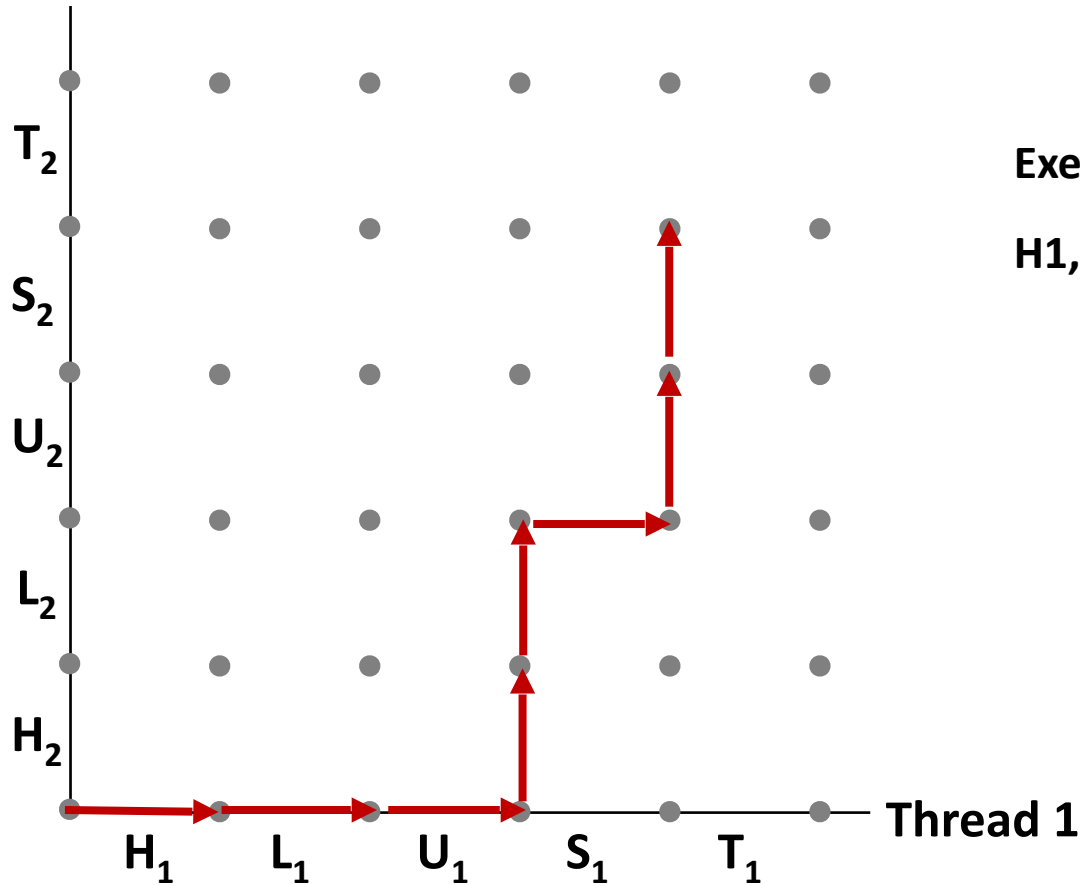
Trajectories in Progress Graphs

Thread 2



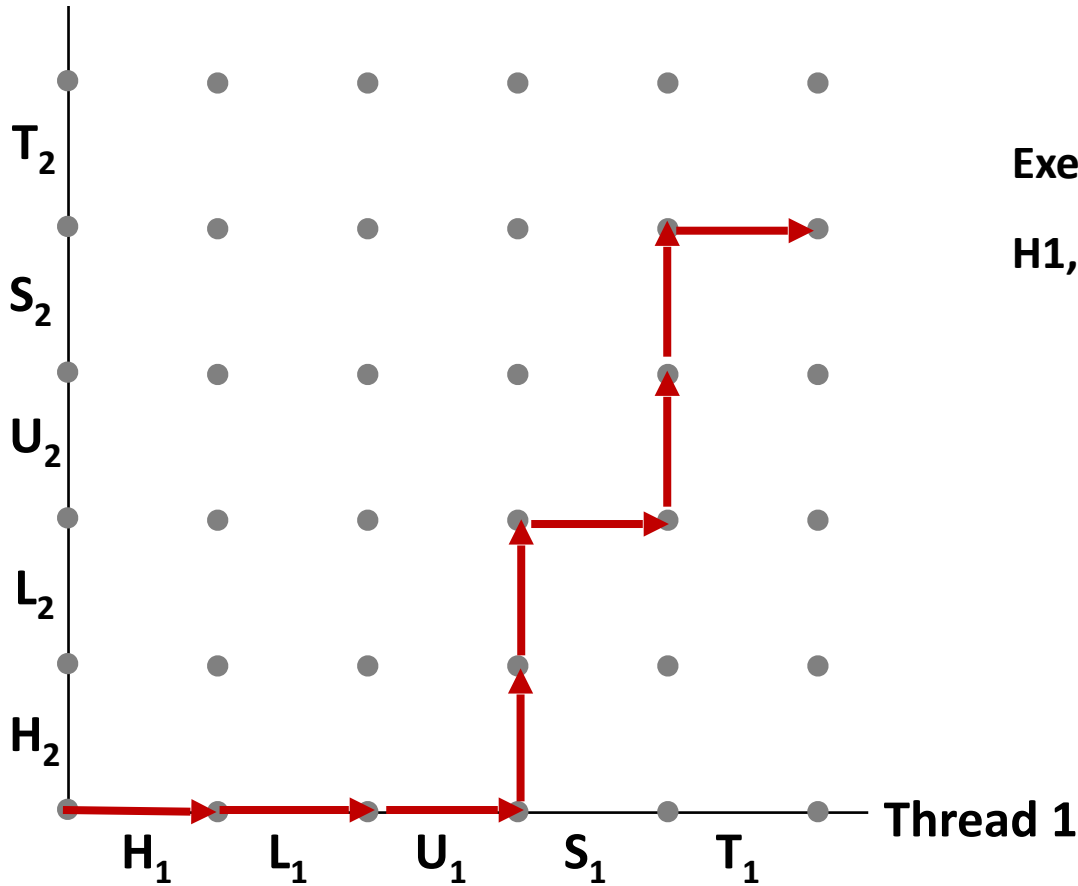
Trajectories in Progress Graphs

Thread 2



Trajectories in Progress Graphs

Thread 2

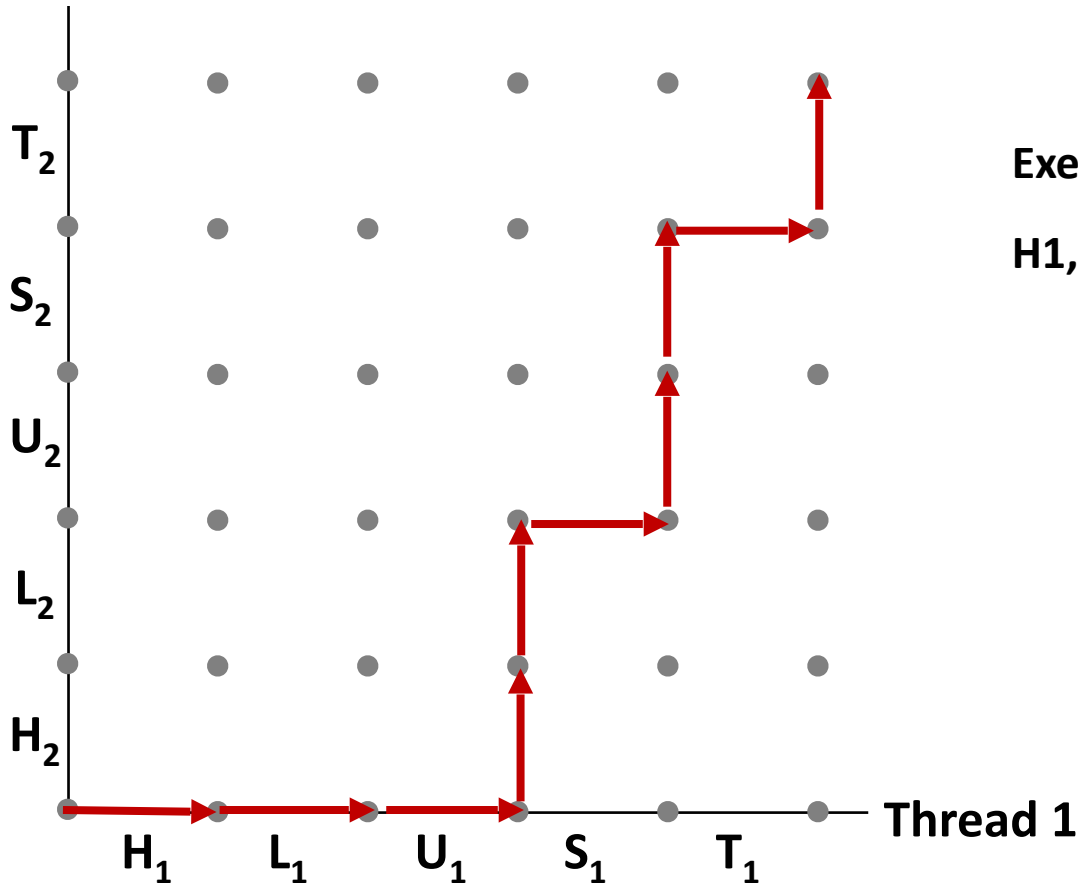


Execution Ordering:

$H_1, L_1, U_1, H_2, L_2, S_1, U_2, S_2, T_1, T_2$

Trajectories in Progress Graphs

Thread 2



Execution Ordering:

H1, L1, U1, H2, L2, S1, U2, S2, T1, T2

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L₁			
2	L₂			
2	U₂			
2	S₂			
1	U₁			
1	S₁			

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L ₁	0		
2	L ₂			
2	U ₂			
2	S ₂			
1	U ₁			
1	S ₁			

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L ₁	0		
2	L ₂		0	
2	U ₂			
2	S ₂			
1	U ₁			
1	S ₁			

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L ₁	0		
2	L ₂		0	
2	U ₂		1	
2	S ₂			
1	U ₁			
1	S ₁			

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L ₁	0		
2	L ₂		0	
2	U ₂		1	
2	S ₂		1	
1	U ₁			
1	S ₁			

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L₁	0		
2	L₂		0	
2	U₂		1	
2	S₂		1	1
1	U₁			
1	S₁			

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L₁	0		
2	L₂		0	
2	U₂		1	
2	S₂		1	1
1	U₁	1		
1	S₁			

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L₁	0		
2	L₂		0	
2	U₂		1	
2	S₂		1	1
1	U₁	1		
1	S₁	1		

Concurrent Execution (cont)

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L₁	0		
2	L₂		0	
2	U₂		1	
2	S₂		1	1
1	U₁	1		
1	S₁	1		1

Concurrent Execution (cont)

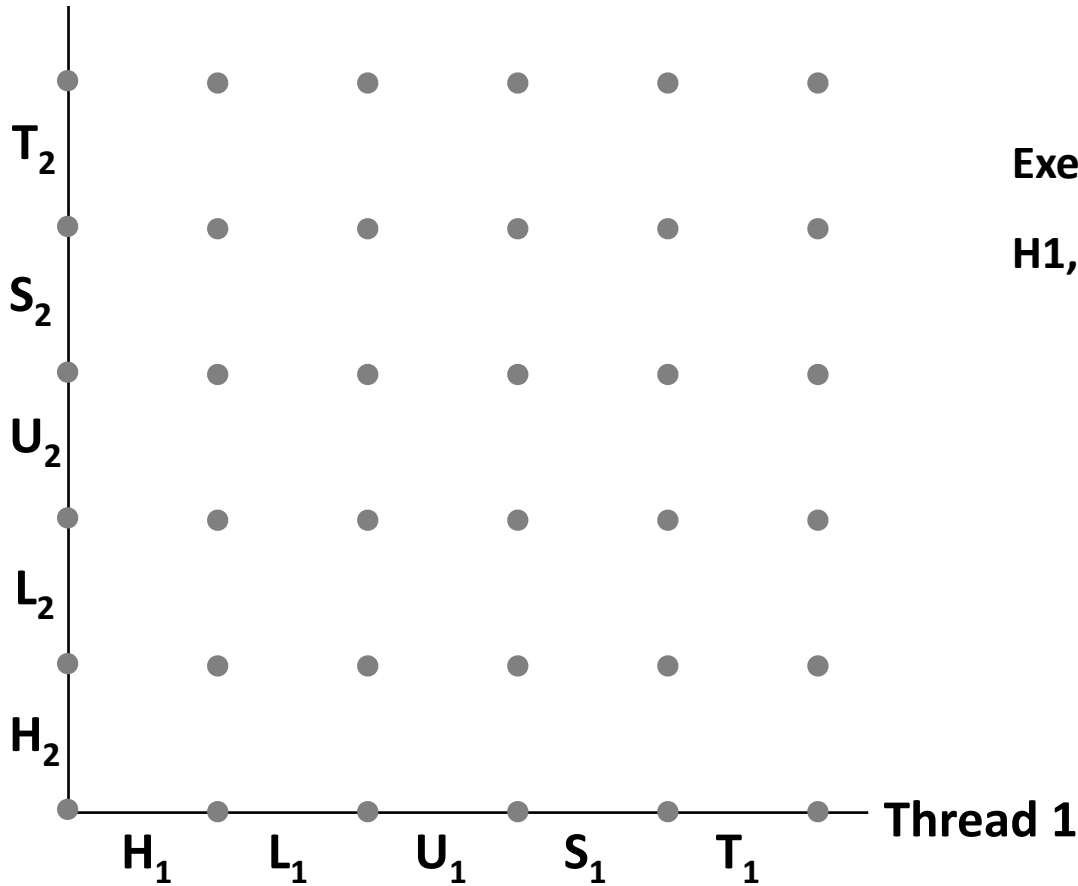
- Another undesired, but legal, interleaving

i (thread) instr_i %rdx₁ %rdx₂ cnt

1	L₁	0		
2	L₂		0	
2	U₂		1	
2	S₂		1	1
1	U₁	1		
1	S₁	1		1

Trajectories in Progress Graphs

Thread 2

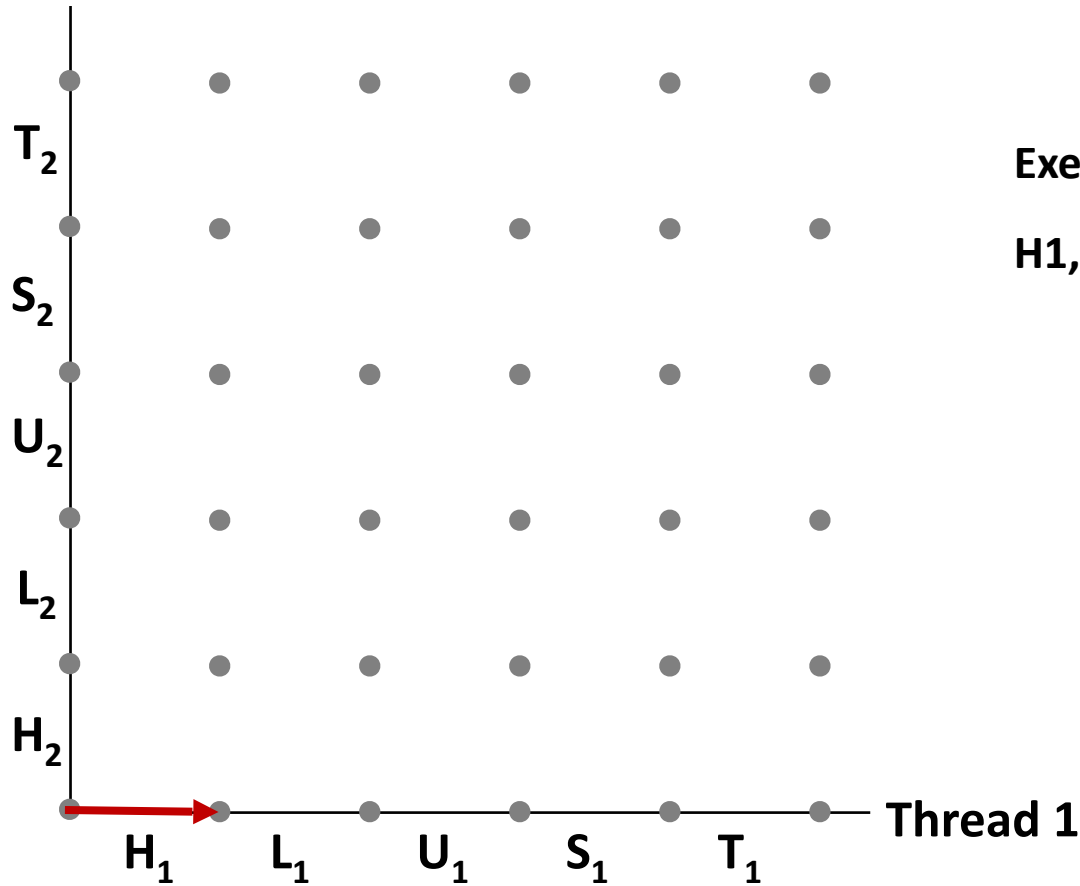


Execution Ordering:

$H_1, L_1, H_2, L_2, U_2, S_2, U_1, S_1, T_1, T_2$

Trajectories in Progress Graphs

Thread 2

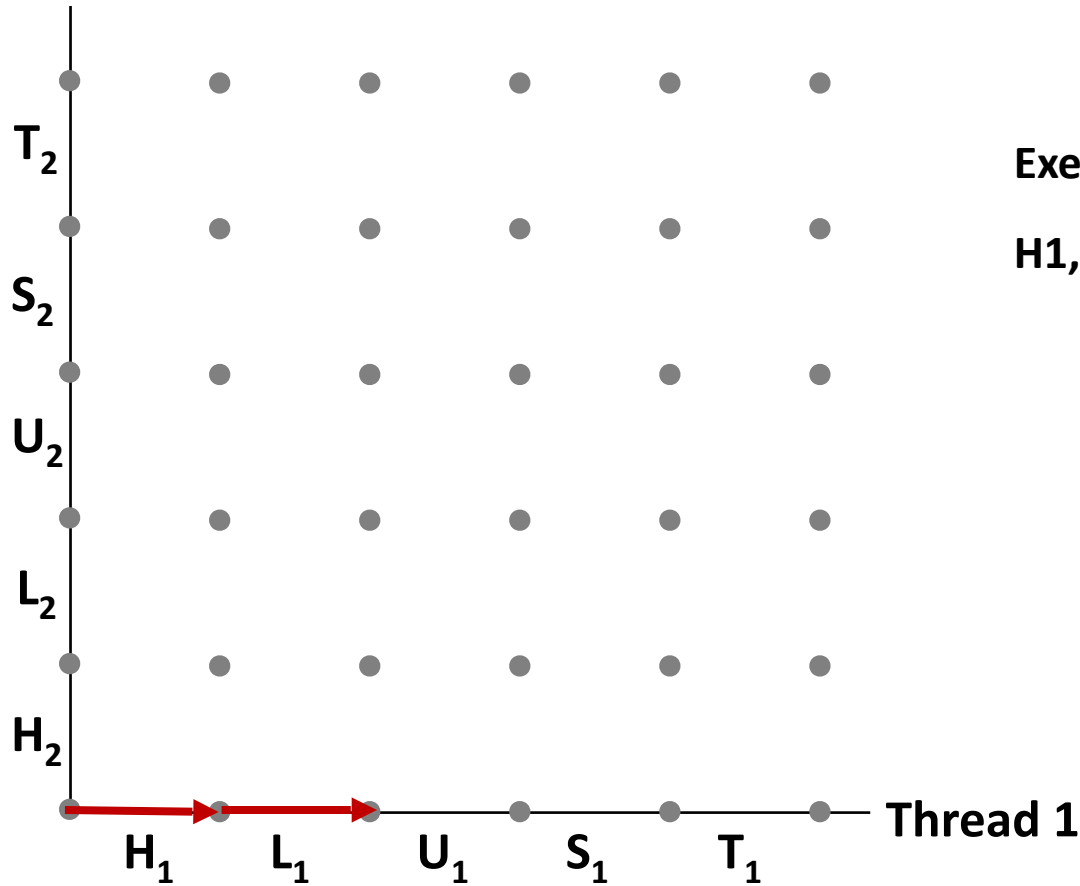


Execution Ordering:

H1, L1, H2, L2, U2, S2, U1, S1, T1, T2

Trajectories in Progress Graphs

Thread 2

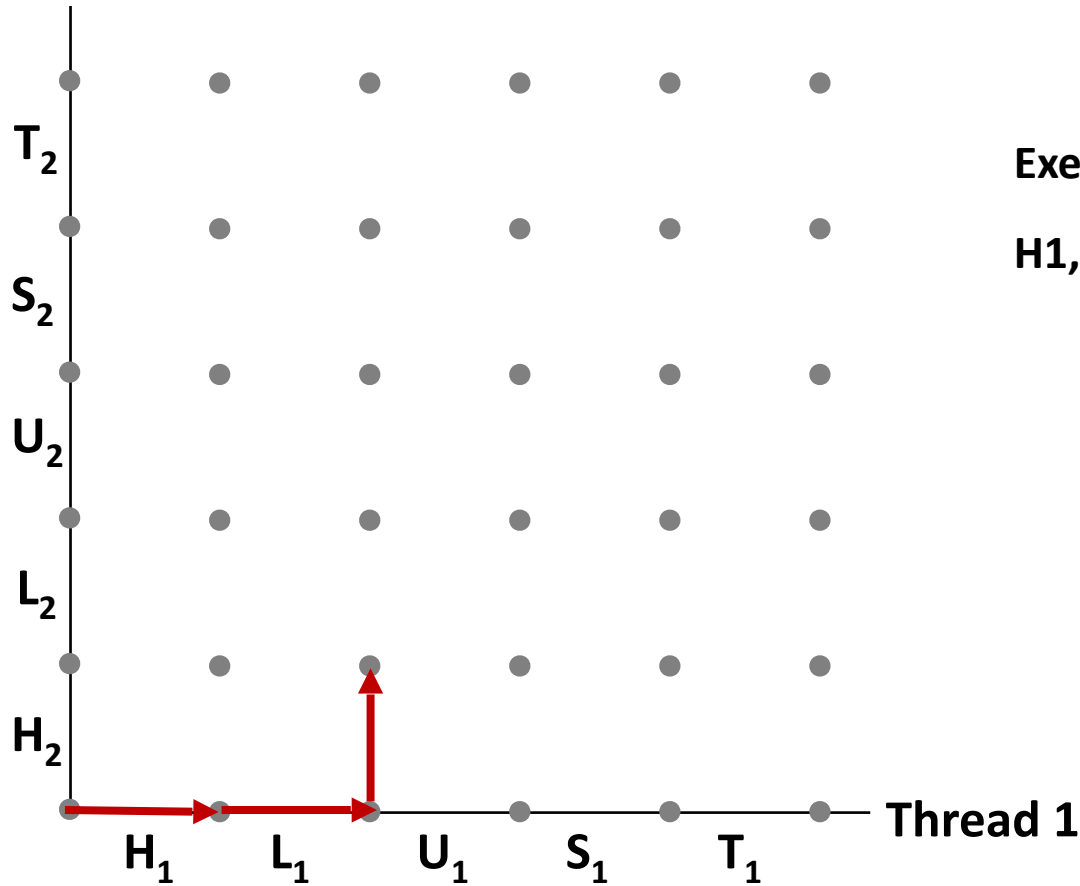


Execution Ordering:

H1, L1, H2, L2, U2, S2, U1, S1, T1, T2

Trajectories in Progress Graphs

Thread 2

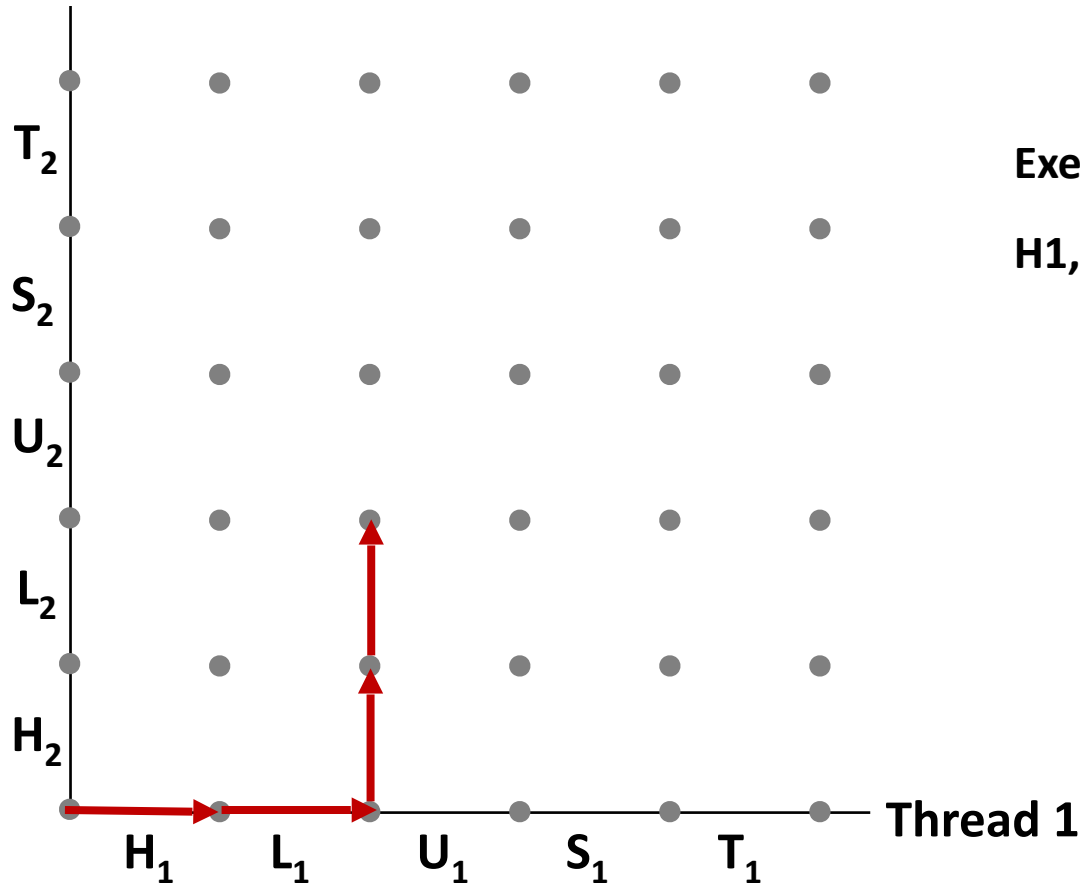


Execution Ordering:

$H_1, L_1, H_2, L_2, U_2, S_2, U_1, S_1, T_1, T_2$

Trajectories in Progress Graphs

Thread 2

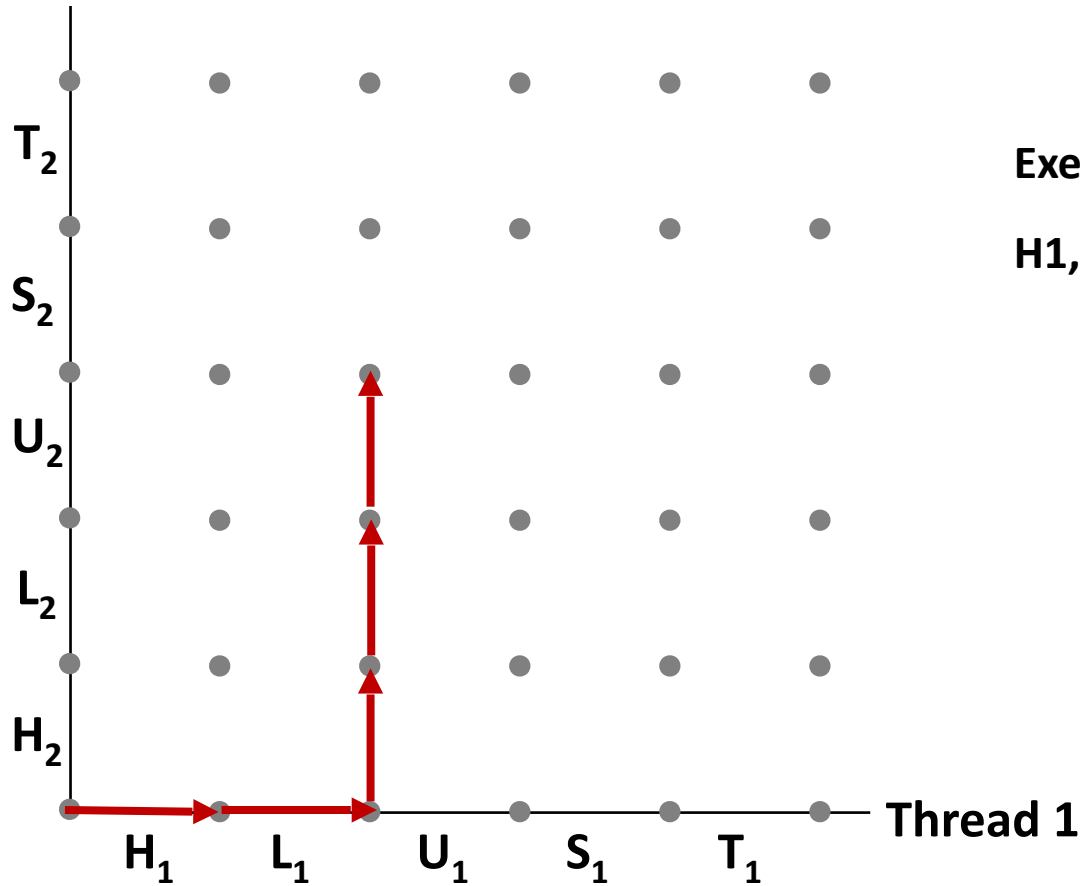


Execution Ordering:

H1, L1, H2, L2, U2, S2, U1, S1, T1, T2

Trajectories in Progress Graphs

Thread 2

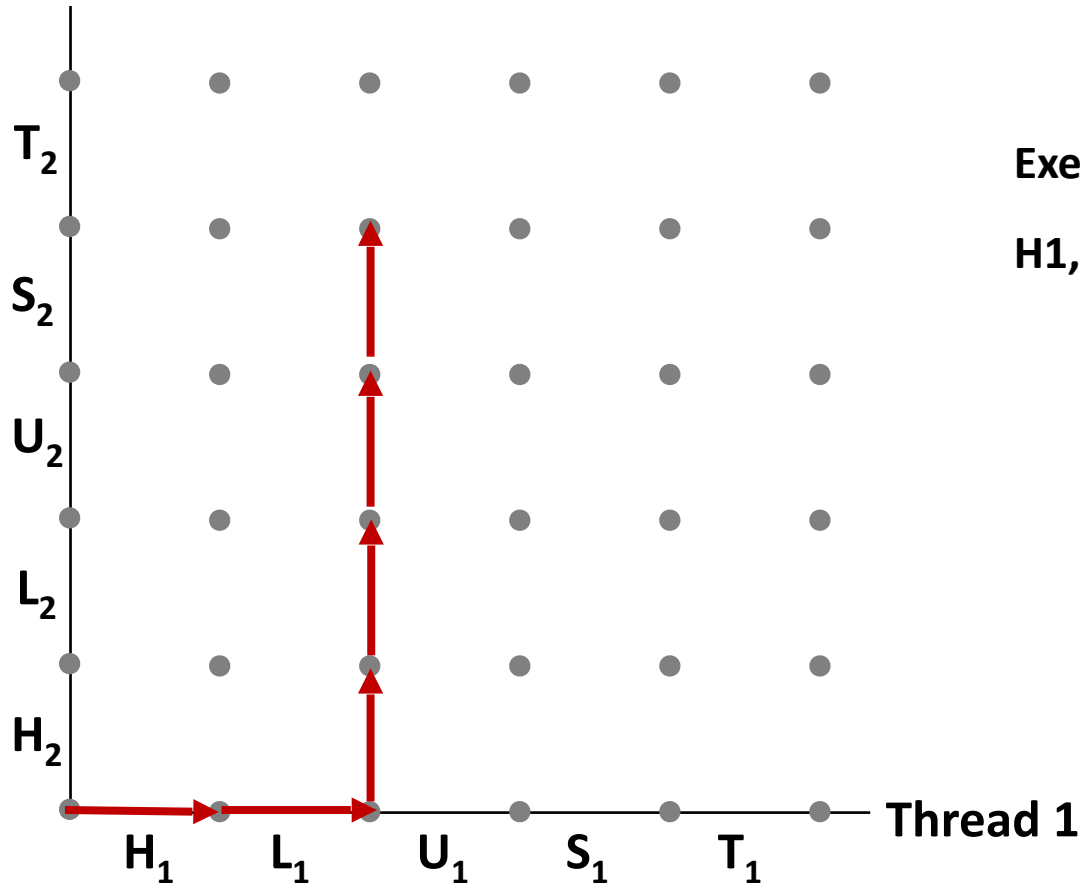


Execution Ordering:

$H_1, L_1, H_2, L_2, U_2, S_2, U_1, S_1, T_1, T_2$

Trajectories in Progress Graphs

Thread 2

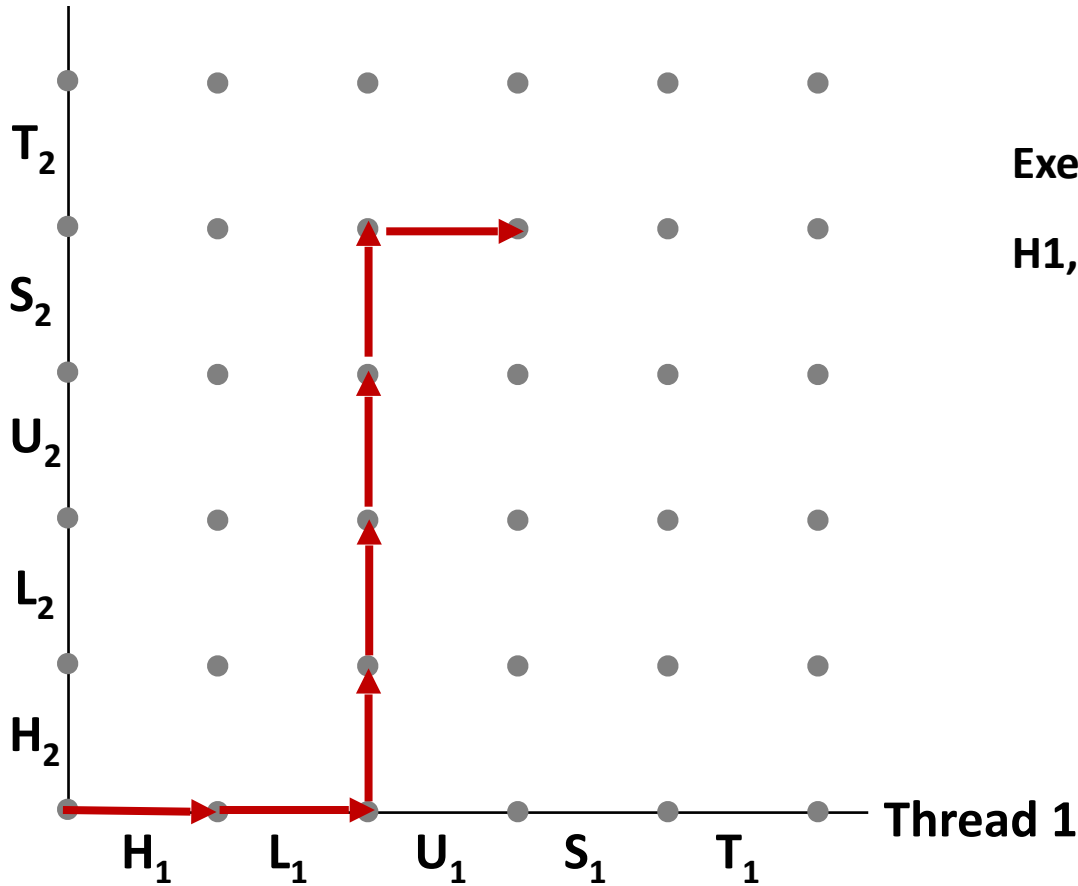


Execution Ordering:

H1, L1, H2, L2, U2, S2, U1, S1, T1, T2

Trajectories in Progress Graphs

Thread 2

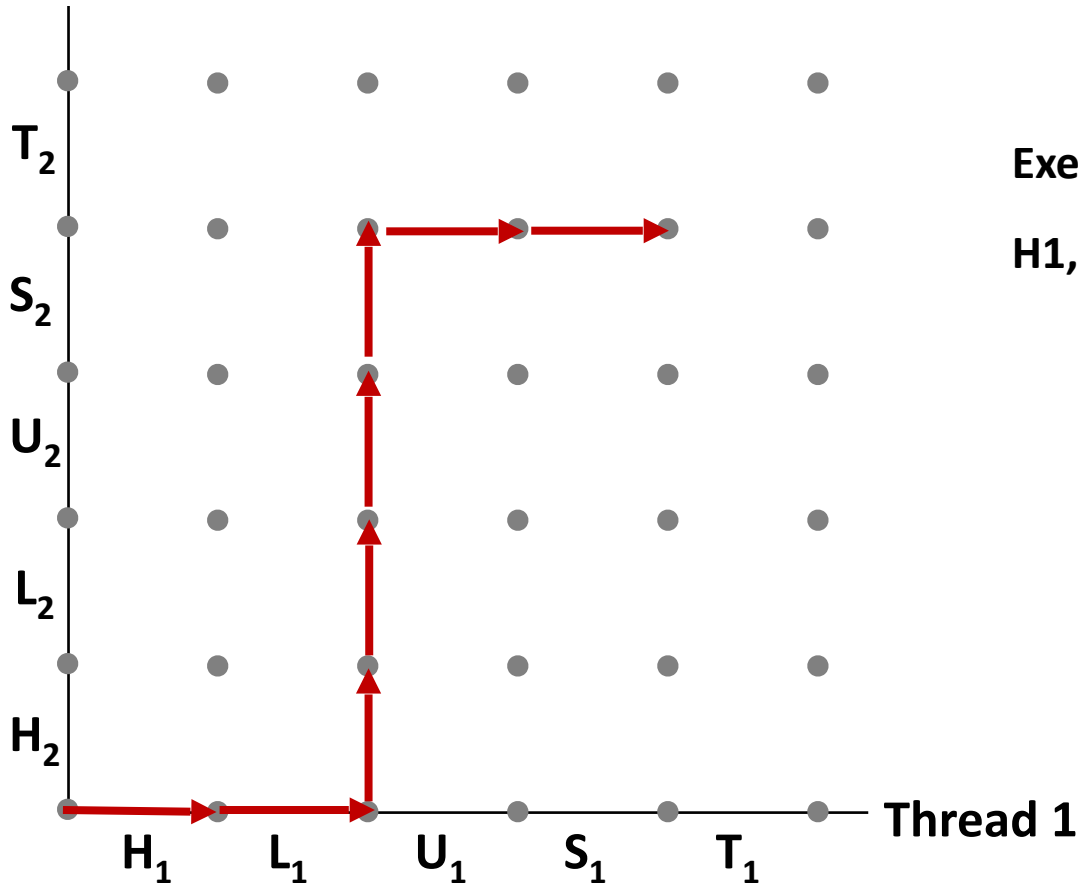


Execution Ordering:

$H_1, L_1, H_2, L_2, U_2, S_2, U_1, S_1, T_1, T_2$

Trajectories in Progress Graphs

Thread 2

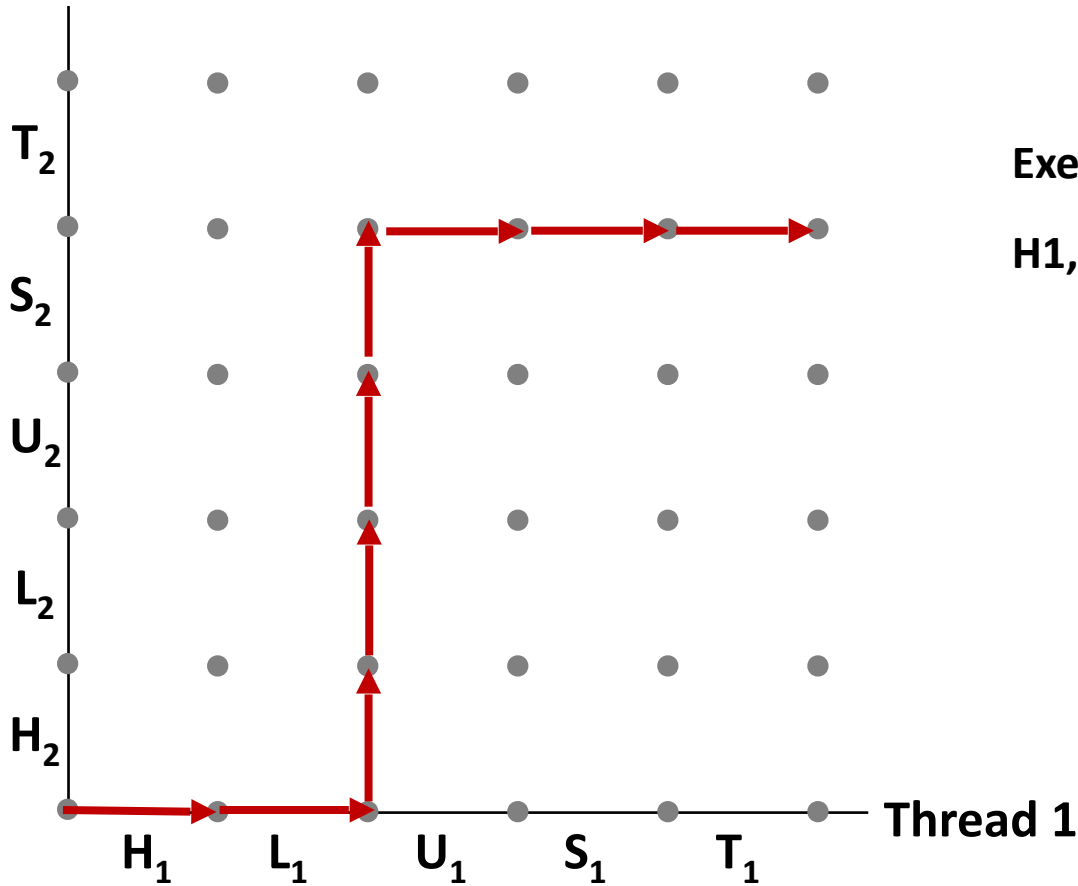


Execution Ordering:

$H_1, L_1, H_2, L_2, U_2, S_2, U_1, S_1, T_1, T_2$

Trajectories in Progress Graphs

Thread 2

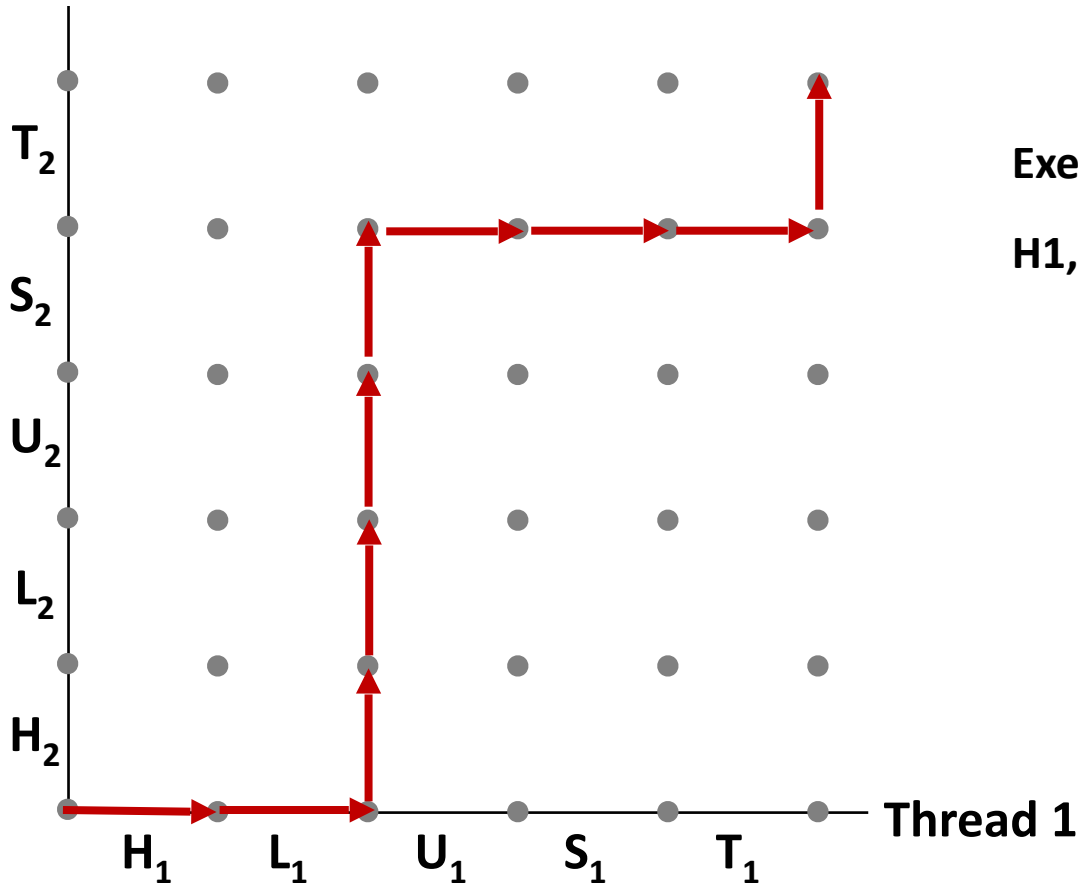


Execution Ordering:

H1, L1, H2, L2, U2, S2, U1, S1, T1, T2

Trajectories in Progress Graphs

Thread 2



Execution Ordering:

H1, L1, H2, L2, U2, S2, U1, S1, T1, T2

Assembly Code for Counter Loop

C code for counter loop in thread i

```
for (i = 0; i < niters; i++)  
    cnt++;
```

Asm code for thread i

<pre>movq (%rdi), %rcx testq %rcx, %rcx jle .L2 movl \$0, %eax</pre>	}	H_i : Head
<pre>.L3: movq cnt(%rip), %rdx addq \$1, %rdx movq %rdx, cnt(%rip)</pre>		
<pre>addq \$1, %rax cmpq %rcx, %rax jne .L3</pre>	}	T_i : Tail
<pre>.L2:</pre>		

L_i : Load cnt
 U_i : Update cnt
 S_i : Store cnt

Assembly Code for Counter Loop

C code for counter loop in thread i

```
for (i = 0; i < niters; i++)  
    cnt++;
```

Asm code for thread i

critical
section
wrt cnt



<pre>movq (%rdi), %rcx testq %rcx,%rcx jle .L2 movl \$0, %eax</pre>	} H_i : Head
<pre>----- .L3: movq cnt(%rip), %rdx addq \$1, %rdx movq %rdx, cnt(%rip)</pre>	} L_i : Load cnt } U_i : Update cnt } S_i : Store cnt
<pre>----- addq \$1, %rax cmpq %rcx, %rax jne .L3 .L2:</pre>	} T_i : Tail

Critical Section

- Code section (a sequence of instructions) where no more than one thread should be executing concurrently.
- Critical section refers to code, but its intention is to protect data!
- Threads need to have *mutually exclusive* access to critical section

Asm code for thread i

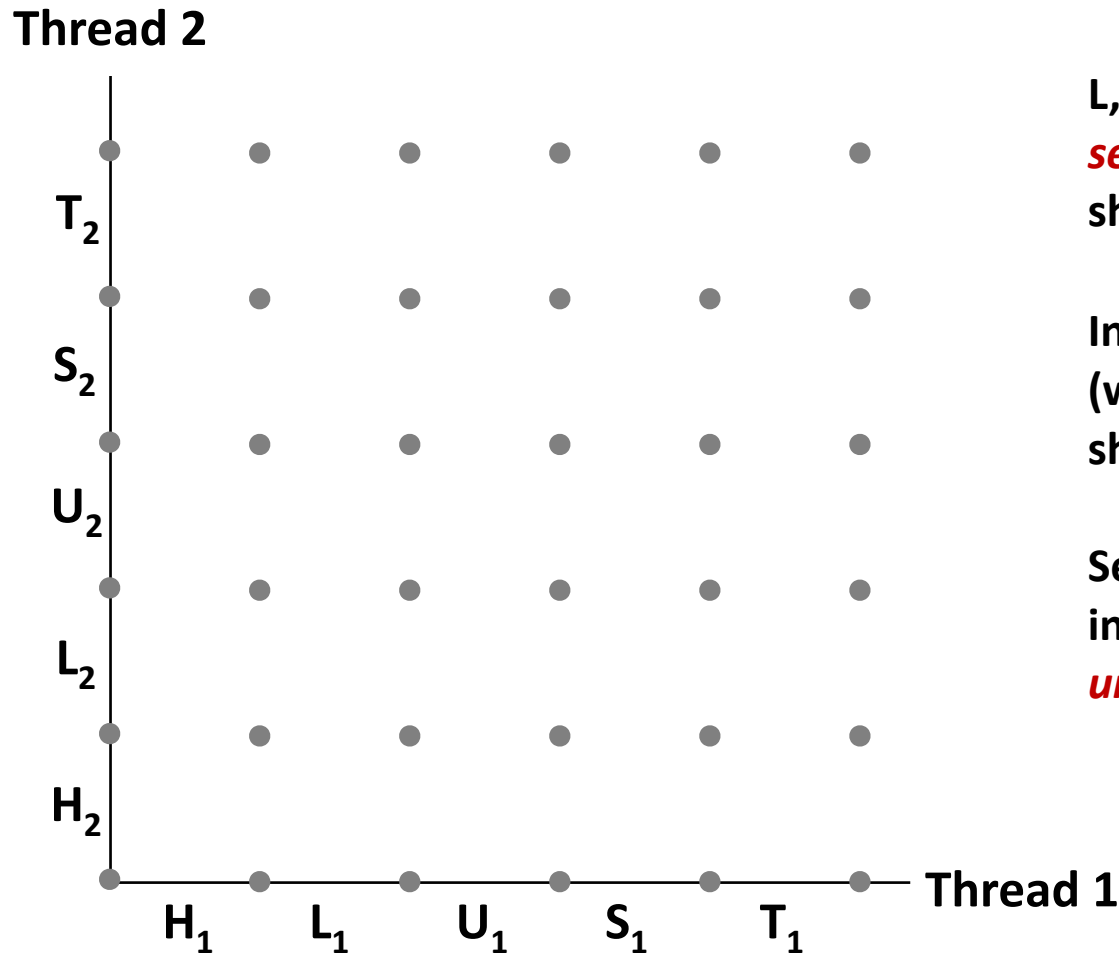
critical
section
wrt cnt



<code>movq (%rdi), %rcx</code>	} H_i : Head
<code>testq %rcx, %rcx</code>	
<code>jle .L2</code>	
<code>movl \$0, %eax</code>	

<code>.L3:</code>	} L_i : Load cnt
<code>movq cnt(%rip), %rdx</code>	
<code>addq \$1, %rdx</code>	} U_i : Update cnt
<code>movq %rdx, cnt(%rip)</code>	
<code>addq \$1, %rax</code>	} S_i : Store cnt
<code>cmpq %rcx, %rax</code>	
<code>jne .L3</code>	} T_i : Tail
<code>.L2:</code>	

Critical Sections and Unsafe Regions

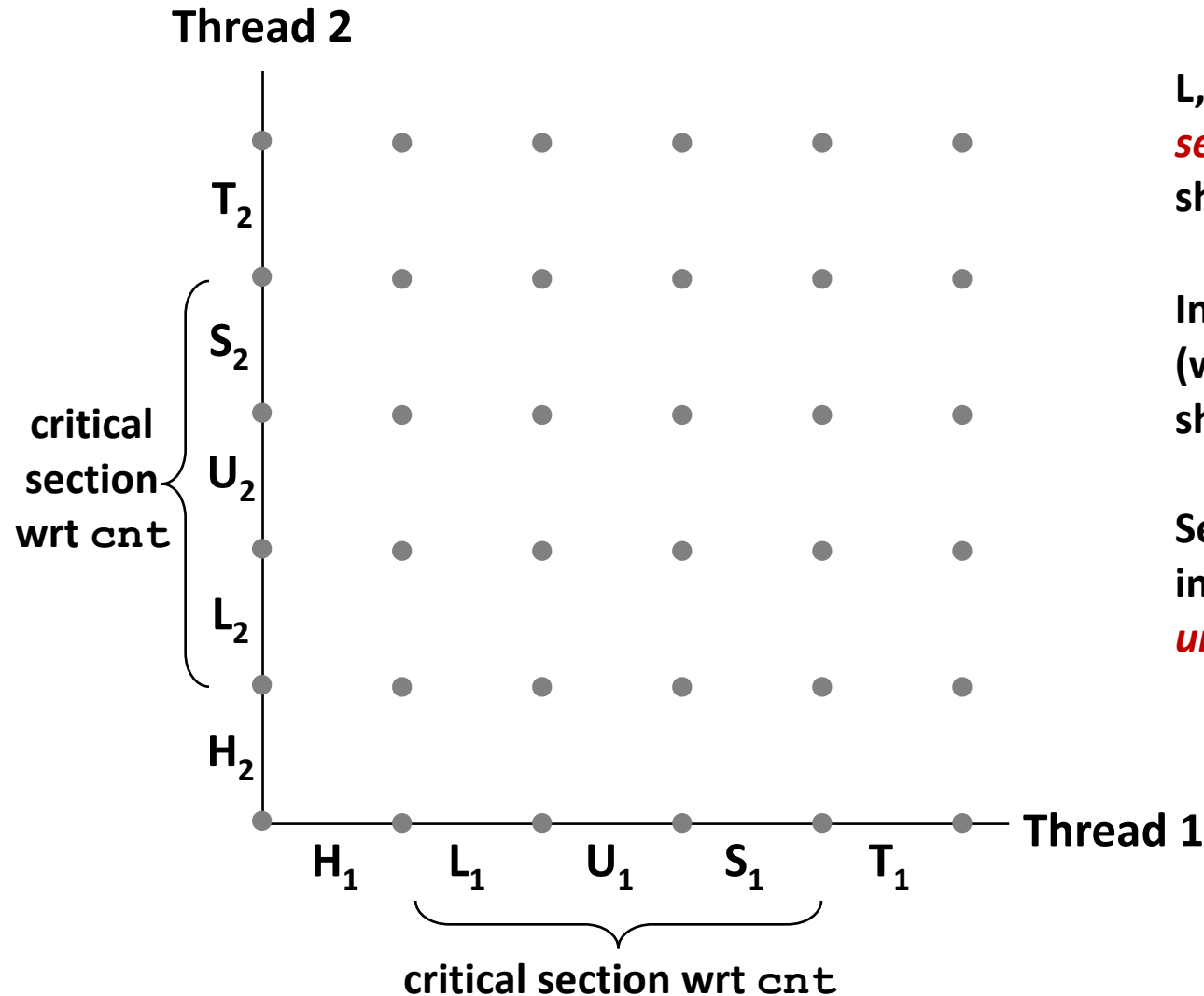


L, U, and S form a **critical section** with respect to the shared variable `cnt`

Instructions in critical sections (wrt some shared variable) should not be interleaved

Sets of states where such interleaving occurs form **unsafe regions**

Critical Sections and Unsafe Regions

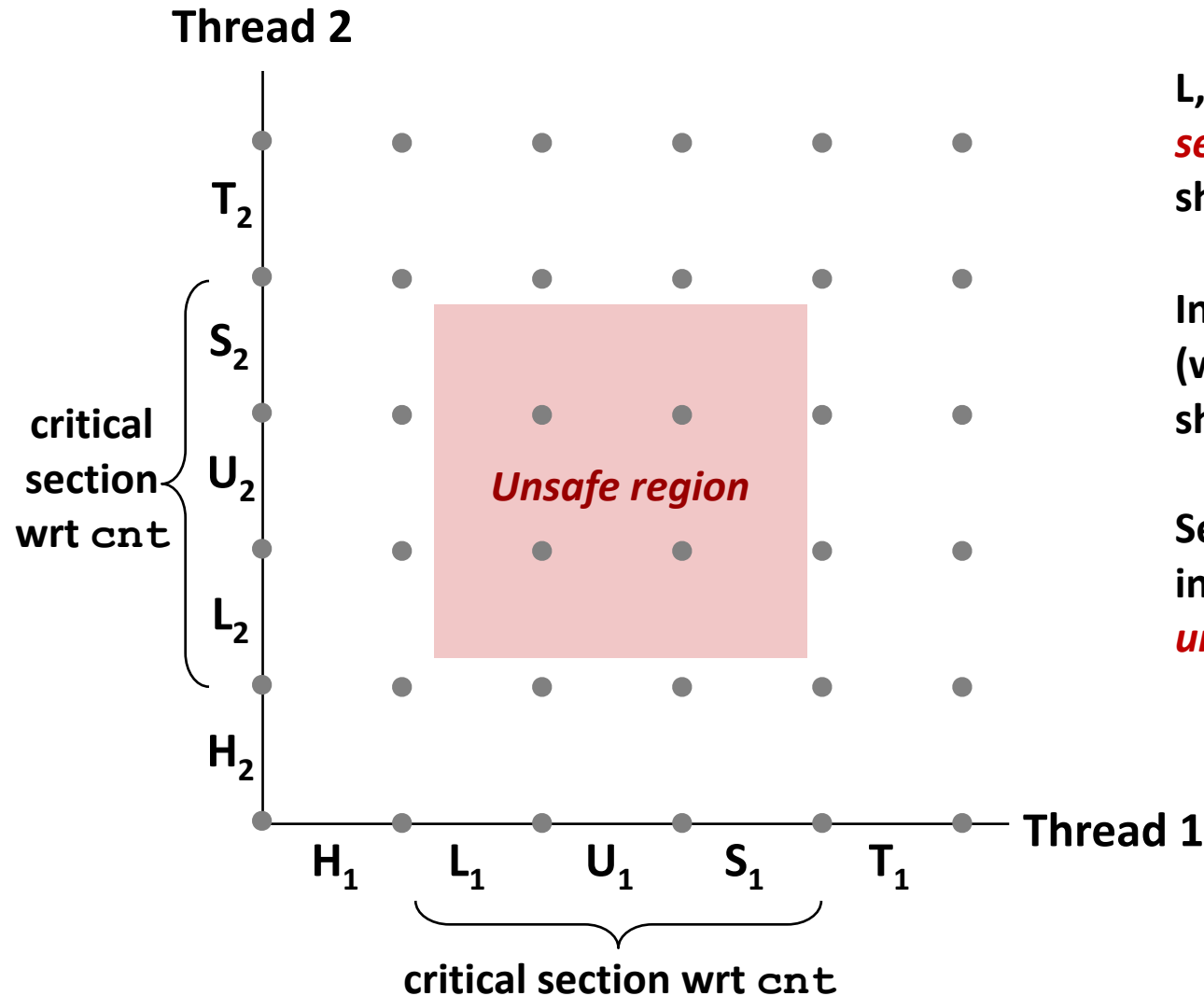


L , U , and S form a **critical section** with respect to the shared variable `cnt`

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Sets of states where such interleaving occurs form **unsafe regions**

Critical Sections and Unsafe Regions

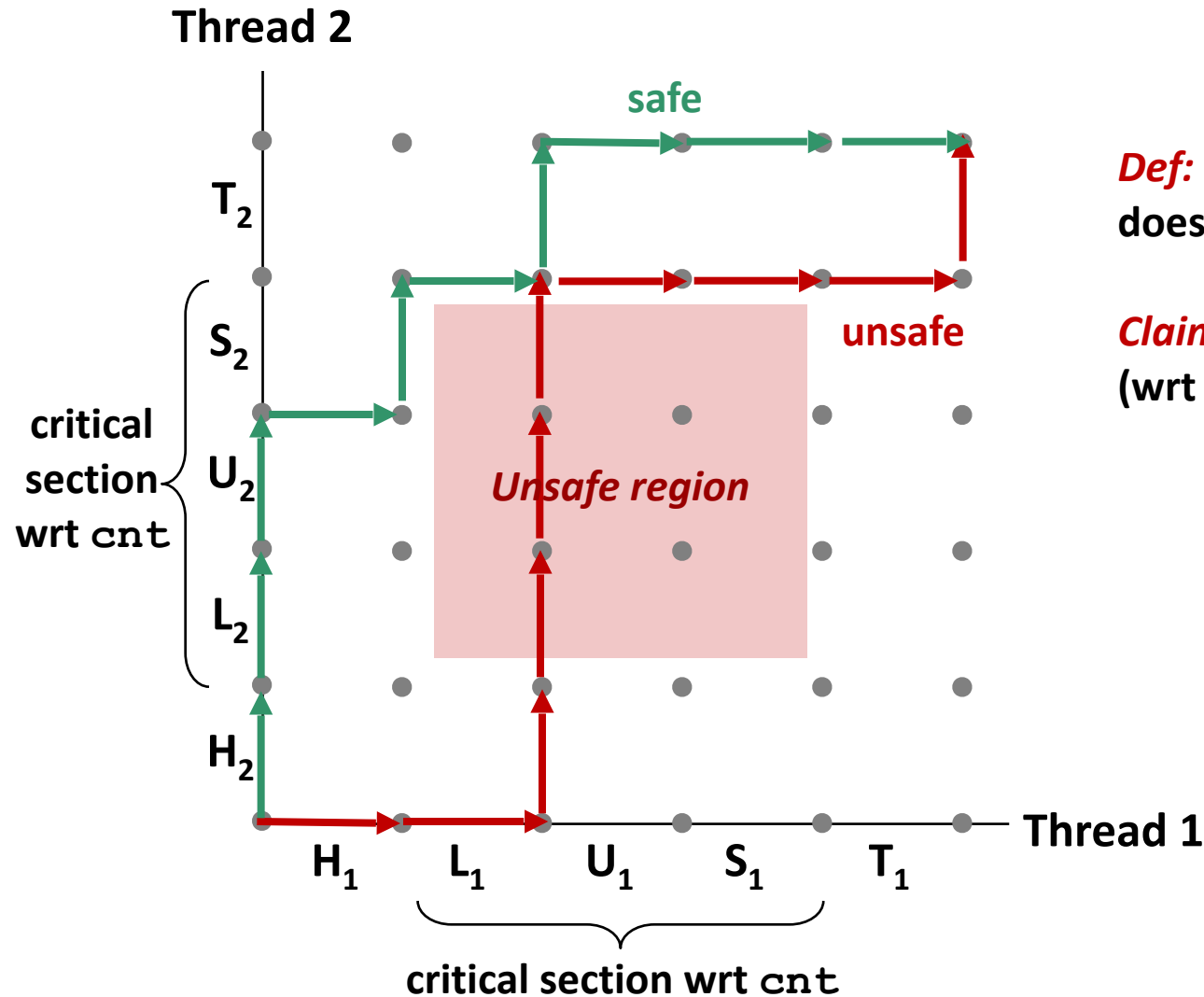


L , U , and S form a **critical section** with respect to the shared variable `cnt`

Instructions in critical sections (wrt some shared variable) should not be interleaved

Sets of states where such interleaving occurs form **unsafe regions**

Critical Sections and Unsafe Regions



Def: A trajectory is *safe* iff it does not enter any unsafe region

Claim: A trajectory is correct (wrt cnt) iff it is safe

Enforcing Mutual Exclusion

- Question: How can we guarantee a safe trajectory?
- Answer: We must *synchronize* the execution of the threads so that they can never have an unsafe trajectory.
 - i.e., need to guarantee *mutually exclusive access* for each critical section.
- Classic solution:
 - Semaphores (Edsger Dijkstra)
- Other approaches (out of our scope)
 - Mutex and condition variables
 - Monitors (Java)

Using Semaphores for Mutual Exclusion

- Basic idea:
 - Associate each shared variable (or related set of shared variables) with a unique variable, called **semaphore**, initially 1
 - Every time a thread tries to enter the critical section, it first checks the mutex value. If it's still 1, the thread decrements the mutex value to 0 (through a **P operation**) and enters the critical section
 - Every time a thread exits the critical section, it increments the mutex value to 1 (through a **V operation**) so that other threads are now allowed to enter the critical section
 - No more than one thread can be in the critical section at a time

Using Semaphores for Mutual Exclusion

- Terminology:
 - *Binary semaphore*: semaphore whose value is always 0 or 1
 - *Mutex*: binary semaphore used for mutual exclusion
 - P operation: “locking” the mutex
 - V operation: “unlocking” or “releasing” the mutex
 - “*Holding*” a mutex: locked and not yet unlocked.
 - *Counting semaphore*: used as a counter for set of available resources.

Proper Synchronization

- Define and initialize a mutex for the shared variable `cnt` :

```
volatile long cnt = 0; /* Counter */
sem_t mutex; /* Semaphore that protects cnt */

Sem_init(&mutex, 0, 1); /* mutex = 1 */
```

- Surround critical section with P and V:

```
for (i = 0; i < niters; i++) {
    P(&mutex);
    cnt++;
    V(&mutex);
}
```

goodcnt.c

```
linux> ./goodcnt 10000
OK cnt=20000
linux> ./goodcnt 10000
OK cnt=20000
linux>
```

Warning: It's orders of magnitude slower than badcnt.c.

Semaphores

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- **Semaphore:** non-negative global integer synchronization variable. Manipulated by P and V operations.

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- P(s)
 - If s is nonzero, then decrement s by 1 and return immediately.
 - Test and decrement operations occur atomically (indivisibly)
 - If s is zero, then suspend thread until s becomes nonzero and the thread is restarted by a V operation.
 - After restarting, the P operation decrements s and returns to the caller.

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 - Increment s by 1.
 - Increment operation occurs atomically
 - If there are any threads blocked in a P operation waiting for s to become non-zero, then restart exactly one of those threads, which then completes its P operation by decrementing s.

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 - If there are any threads blocked in a P operation waiting for s to become non-zero, then restart exactly one of those threads, which then completes its P operation by decrementing s.
- **Semaphore invariant:** ($s \geq 0$)

C Semaphore Operations

Pthreads functions:

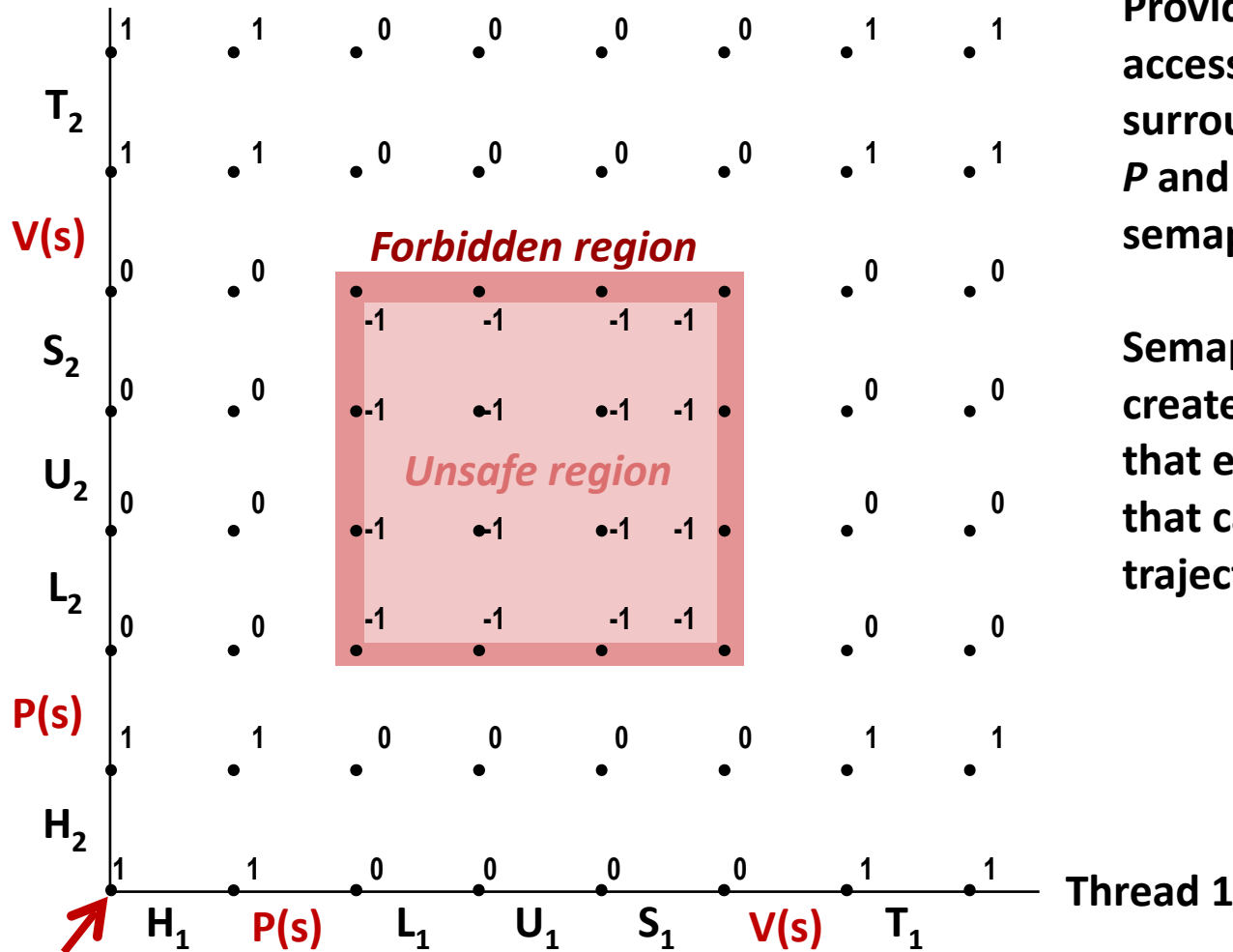
```
#include <semaphore.h>

int sem_init(sem_t *s, 0, unsigned int val); /* s = val */

int sem_wait(sem_t *s); /* P(s) */
int sem_post(sem_t *s); /* V(s) */
```

Why Mutexes Work

Thread 2



Provide mutually exclusive access to shared variable by surrounding critical section with P and V operations on semaphore s (initially set to 1)

Semaphore invariant creates a **forbidden region** that encloses unsafe region and that cannot be entered by any trajectory.

Initially
 $s = 1$

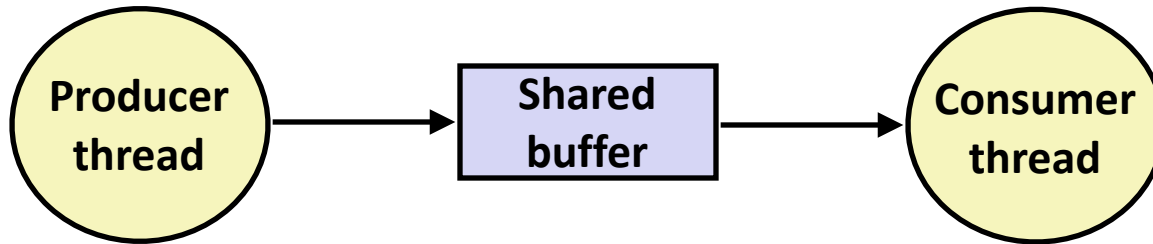
Mutual Exclusion Summary

- Programmers need a clear model of how variables are shared by threads.
- Variables shared by multiple threads must be protected to ensure mutually exclusive access.
- Semaphores are a fundamental mechanism for enforcing mutual exclusion.

Coordinate Access to Shared Resources

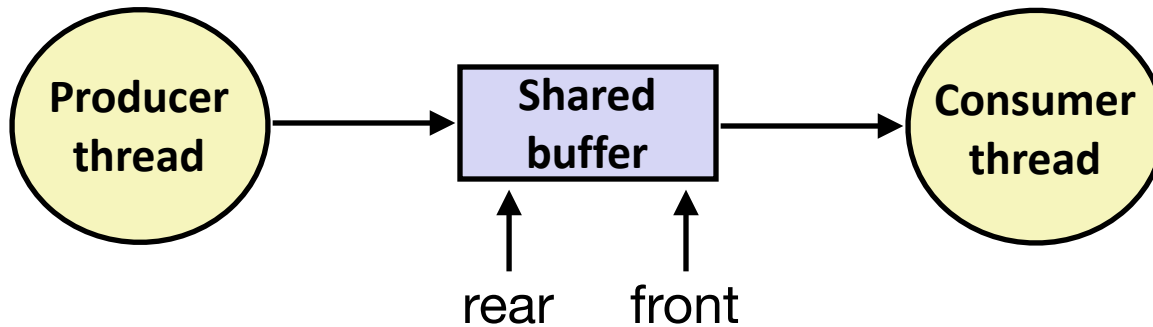
- So far we have described how to use **binary semaphore** to ensure mutual exclusion
- We can also use counting (non-binary) semaphore to achieve more sophisticated coordination of share data accesses
- Basic idea: Use **counting semaphores** to keep track of resource state and to notify other threads that some condition has become true
- Two classic examples:
 - The Producer-Consumer Problem
 - The Readers-Writers Problem (see textbook)

Producer-Consumer Problem



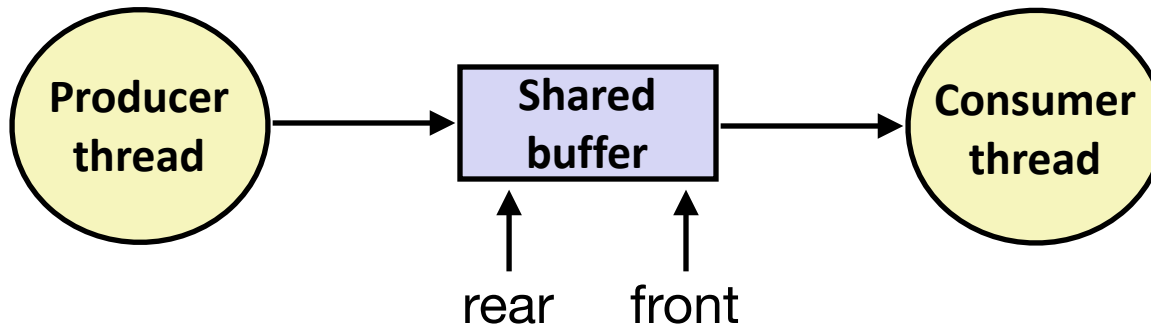
- Common synchronization pattern:
 - Producer waits for empty *slot*, inserts item in buffer, and notifies consumer
 - Consumer waits for *item*, removes it from buffer, and notifies producer
- Examples
 - Multimedia processing:
 - Producer creates MPEG video frames, consumer renders them
 - Graphical user interfaces (GUI) applications:
 - Producer detects mouse clicks, mouse movements, and keyboard hits and inserts corresponding events in buffer
 - Consumer retrieves events from buffer and paints the display

Producer-Consumer on an n -element Buffer



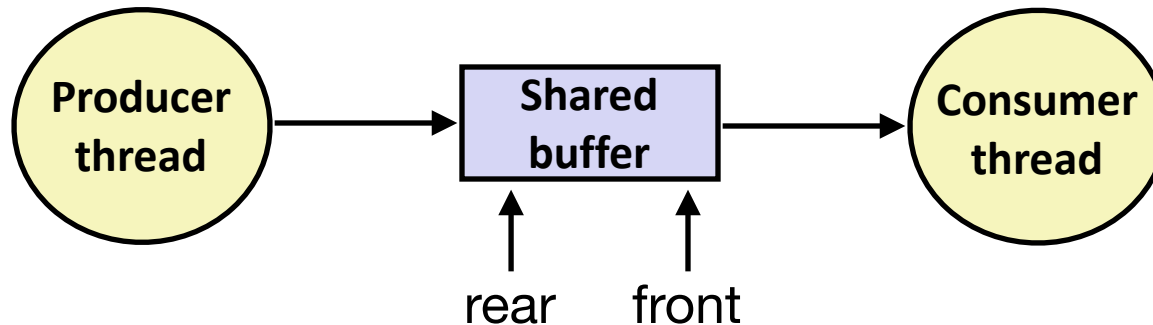
- Consume element by moving front pointer forward. Produce element by moving rear pointer forward

Producer-Consumer on an n -element Buffer



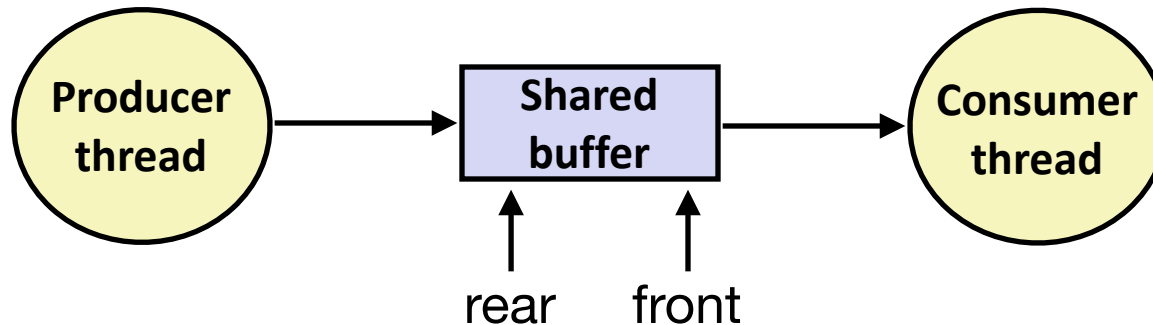
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Producer-Consumer on an n -element Buffer



- Consume element by moving front pointer forward. Produce element by moving rear pointer forward
- Requires a mutex (binary semaphore) to ensure mutual exclusive access to the shared buffer
- But how we know if there are enough space for the producer and there are available elements for the consumer?

Producer-Consumer on an n -element Buffer



- Consume element by moving front pointer forward. Produce element by moving rear pointer forward
- Requires a mutex (binary semaphore) to ensure mutual exclusive access to the shared buffer
- But how we know if there are enough space for the producer and there are available elements for the consumer?
- Use two counting semaphores:
 - `slots`: counts the available slots in the buffer
 - `items`: counts the available items in the buffer

Implementation

```
Sem_init(&sp->mutex, 0, 1);
```

Removing an item from a shared buffer:

```
/* Remove and return the first item from buffer sp */
int sbuf_remove(sbuf_t *sp)
{
    int item;

    P(&sp->mutex);                /* Lock the buffer */
    item = sp->buf[(++sp->front)%(sp->n)]; /* Remove the item */
    V(&sp->mutex);                /* Unlock the buffer */

    return item;
}
```

Inserting an item into a shared buffer:

```
/* Insert item onto the rear of shared buffer sp */
void sbuf_insert(sbuf_t *sp, int item)
{
    P(&sp->mutex);                /* Lock the buffer */
    sp->buf[(++sp->rear)%(sp->n)] = item; /* Insert the item */
    V(&sp->mutex);                /* Unlock the buffer */

}
```

Implementation

```
Sem_init(&sp->mutex, 0, 1);  
Sem_init(&sp->items, 0, 0);
```

Removing an item from a shared buffer:

```
/* Remove and return the first item from buffer sp */  
int sbuf_remove(sbuf_t *sp)  
{  
    int item;  
    P(&sp->items);           /* Wait for available item */  
    P(&sp->mutex);          /* Lock the buffer */  
    item = sp->buf[(++sp->front)%(sp->n)]; /* Remove the item */  
    V(&sp->mutex);          /* Unlock the buffer */  
  
    return item;  
}
```

Inserting an item into a shared buffer:

```
/* Insert item onto the rear of shared buffer sp */  
void sbuf_insert(sbuf_t *sp, int item)  
{  
  
    P(&sp->mutex);           /* Lock the buffer */  
    sp->buf[(++sp->rear)%(sp->n)] = item; /* Insert the item */  
    V(&sp->mutex);          /* Unlock the buffer */  
    V(&sp->items);          /* Announce available item */  
}
```

Implementation

Removing an item from a shared buffer:

```
Sem_init(&sp->mutex, 0, 1);  
Sem_init(&sp->items, 0, 0);  
Sem_init(&sp->slots, 0, n);
```

```
/* Remove and return the first item from buffer sp */  
int sbuf_remove(sbuf_t *sp)  
{  
    int item;  
    P(&sp->items);           /* Wait for available item */  
    P(&sp->mutex);          /* Lock the buffer */  
    item = sp->buf[(++sp->front)%(sp->n)]; /* Remove the item */  
    V(&sp->mutex);          /* Unlock the buffer */  
    V(&sp->slots);          /* Announce available slot */  
    return item;  
}
```

Inserting an item into a shared buffer:

```
/* Insert item onto the rear of shared buffer sp */  
void sbuf_insert(sbuf_t *sp, int item)  
{  
    P(&sp->slots);          /* Wait for available slot */  
    P(&sp->mutex);          /* Lock the buffer */  
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    V(&sp->mutex);          /* Unlock the buffer */  
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}
```